



July 11, 2018

To: Finance and Administration Committee

From: Darrell E. Johnson, Chief Executive Officer

Janet Sutter, Executive Director
Internal Audit Department

Subject: California Governor's Office of Emergency Services, Grant Monitoring Review, Fiscal Year 2014-15 California Transit Security Grant Program, Award No. 67610002

Overview

The California Governor's Office of Emergency Services has conducted a review of the Orange County Transportation Authority's grant processes and documentation related to a Fiscal Year 2014-15 California Transit Security Grant Program Award No. 67610002. No findings resulted from the review.

Recommendation

Receive and file as an information item.

Background

The California Governor's Office of Emergency Services (CalOES) conducted an on-site grant monitoring review covering \$3,520,574 in California Transit Security Grant Program (CTSGP) expenditures. The review was conducted in May 2018, and the results were provided in a letter dated May 23, 2018 (Attachment A).

Discussion

CalOES performed a field review for the purpose of determining whether the Orange County Transportation Authority (OCTA) complied with applicable laws, regulations, guidelines, and policies related to CTSGP funding. The CalOES grants monitor reported no findings as a result of this review.

Summary

CalOES has conducted a grant monitoring review of CTSGP funds awarded to OCTA. There were no findings resulting from this review.

Attachment

- A. Letter to Darrell Johnson from Gina Buccieri-Harrington, Assistant Director, Grants Management, CalOES, Dated May 23, 2018, Subject: Compliance Review #18-2373, FIPS #059-91032

Prepared by:



Janet Sutter
Executive Director, Internal Audit
714-560-5591

EDMUND G. BROWN, JR.
GOVERNOR

MARK S. GHILARDUCCI
DIRECTOR



May 23, 2018

Darrell Johnson
Chief Executive Officer
Orange County Transit Authority
P.O. Box 14184
Orange, CA 92863-1584

Subject: Compliance Review #18-2373, FIPS #059-91032

Dear Mr. Johnson:

The California Governor's Office of Emergency Services (Cal OES) has concluded its review conducted during the week of May 7, 2018, on the Cal OES Grant Subaward noted below. Our review included examining selected documentation that supported your expenditures and performing procedures as necessary to determine compliance with the California Government Code and grant guidelines.

<u>Program Name</u>	<u>Subaward Number</u>	<u>Subaward Date</u>
FY 2014-15 Prop 1B, CA Transit Security Grant Program	6761-0002	12/6/16 - present

Cal OES did not identify any instances of non-compliance with applicable laws, regulations, guidelines and policies. Thank you for the courtesy and cooperation you extended Cal OES in completing this review.

If you have any questions or concerns, please contact Jose Martinez, Grants Monitoring Supervisor, at (916) 845-8178 or by email at jose.martinez@caloes.ca.gov.

Sincerely,

GINA BUCCIERI-HARRINGTON
Assistant Director, Grants Management

cc: Sonia Banales, Chief, Victim Services and Public Safety Branch

3650 SCHRIEVER AVENUE • MATHER, CA 95655
ATTN: GRANTS MANAGEMENT
(916) 845-8120