



Federal Transportation Improvement Program and OCFundtracker Database



2023 TIP Database and Amendment Guide

Agenda

- ❑ Introductions
- ❑ Informational Items
- ❑ FTIP Process and Overview
- ❑ ATP and BCIP Projects

Introduction

- ❑ The Federal Transportation Improvement Program (FTIP) is the primary programming and implementation component for projects identified in the Regional Transportation Plan (RTP).
- ❑ The FTIP lists projects and identified funding sources for every transportation project that:
 - ❑ Receives Federal funds.
 - ❑ Receives State funds.
 - ❑ Are Regionally Significant Projects.

Regional Transportation Plan (RTP)



What is “Regionally Significant”?

What is considered a “regionally significant” project?

- *Projects that impact the County and region, such as:*
 - Freeways/Highways
 - Expansions to Principal Arterial (8 lane)
 - Expansions to Major Arterial (6 lane)
 - Routes that provide access to regional shopping centers, airports, amusement parks, etc.
 - Goods Movement
 - Transit Centers, Rail Stations, Airports, Ports
 - Fixed Transit Routes

Administrative Modifications

- *Includes changes:*
 - That do not affect the regional emissions analysis
 - That do not affect the timely implementation of the Transportation Control Measures
 - In fund source, fund changes, lead agency, minor project description, and split or combined projects
 - In funding less than 50% or less than \$20 million of the total project cost or project phase

Formal Amendments

- *Includes:*
 - Any major change to the project that can not be made through an administrative modification
 - Any change that may require new conformity determination
 - Cost increases over 50% or \$20 million or more of the total project cost or phase



The 2023 FTIP covers a six-year period from FFY22/23 to FFY27/28. Projects listed in the last two years will be considered informational consistent with federal regulations.

2023 FTIP- Additional Provisions

Projects must:

- ❑ Be fully funded.
 - Includes approved funds *only* (back-up documentation)
- ❑ Comply with the Southern California Association of Governments (SCAG) long-range RTP.

FTIP programming is required for the E-76 process and for CTC allocation approval.

Roles and Responsibilities

- ❑ Local Agencies
- ❑ Orange County Transportation Authority (OCTA)
- ❑ Southern California Association of Governments (SCAG)

Roles and Responsibilities

Local Agencies

- Submit projects that are required in the FTIP.
 - *Add* new projects
 - *Delete* existing projects
 - *Modify* existing projects
 - *Carry over* active projects
- Ensure projects comply with FTIP guidelines.
- Ensure projects comply with Transportation Control Measure (TCM) requirements.
- Ensure projects are fully funded.
- Provide backup documentation authorizing funding for projects.

OCTA

- Administers the FTIP in Orange County.
- Programs OCTA related projects into the FTIP.
- Reviews projects submitted from local agencies for FTIP and RTP compliance.
- Prepares final submittal to SCAG.
- *Prepares group project listings for:*
 - Regional Active Transportation Program (ATP)
 - Bicycle Corridor Improvement Program (BCIP)
 - Highway Bridge Program (HBP)
 - Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA)

SCAG

- Maintains the regional FTIP database.
- Reviews projects for FTIP compliance.
- Submits projects to Caltrans and Federal Highways Administration (FHWA) / Federal Transit Administration (FTA) for review.
- *Prepares group project listings for:*
 - Statewide ATP
 - Highway Safety Improvement Program (HSIP)
 - Safe-Routes to School (SRTS/SR2S)

Performance Measures for 2023 FTIP

□ Performance Measures (REQUIRED)

PM3 - SYSTEM PERFORMANCE	
Non-Interstate NHS reliability impact	Rating No Impact ▼
Interstate NHS reliability impact	Rating Significant Improvement ▼
NHS criteria pollutant and CO2 emissions impact	Rating Significant Improvement ▼
Interstate good movement impact	Rating Moderate Improvement ▼

□ Mapping not required, but useful

FTIP Cycle

Stage 1

- ☐ Base FTIP Adoption Submittal

Stage 2

- ☐ Monthly Amendment Process

Stage 1: Base FTIP Adoption Submittal

- Carry-over occurs at the end of every two-year FTIP cycle and marks the adoption of the new cycle.
 - 2021 FTIP to 2023 FTIP
 - All projects in the 2021 FTIP are reviewed for changes and carried over/completed/deleted for the next FTIP cycle.
- Active projects **always** need to be officially carried over from the outgoing FTIP cycle to the new FTIP cycle.

City Council Resolution

SAMPLE FINANCIAL RESOLUTION

RESOLUTION NO. _____

A RESOLUTION OF THE (CITY) WHICH CERTIFIES THAT (CITY) HAS THE
RESOURCES TO FUND THE PROJECTS IN THE FFY 2022/23 – 2027/28
TRANSPORTATION IMPROVEMENT PROGRAM AND AFFIRMS ITS COMMITMENT
TO IMPLEMENT ALL PROJECTS IN THE PROGRAM

WHEREAS, [CITY] (CITY) is located within the metropolitan planning boundaries
of the Southern California Association of Governments; and

WHEREAS, [CITY] City Council has authorized projects submittals to
Orange County Transportation Authority’s (OCTA) Federal Transportation Improvement
Program (FTIP); and

WHEREAS, CITY is the lead agency for projects and will comply with applicable
local, state, and federal provisions including but not limited to the Federal Transportation
Improvement Program, California Environmental Quality Act, National Environmental
Policy Act, Americans with Disabilities Act, and Buy America; and

WHEREAS, CITY agrees to construct Transportation Control Measures projects
as noted in the amendments in a timely manner.

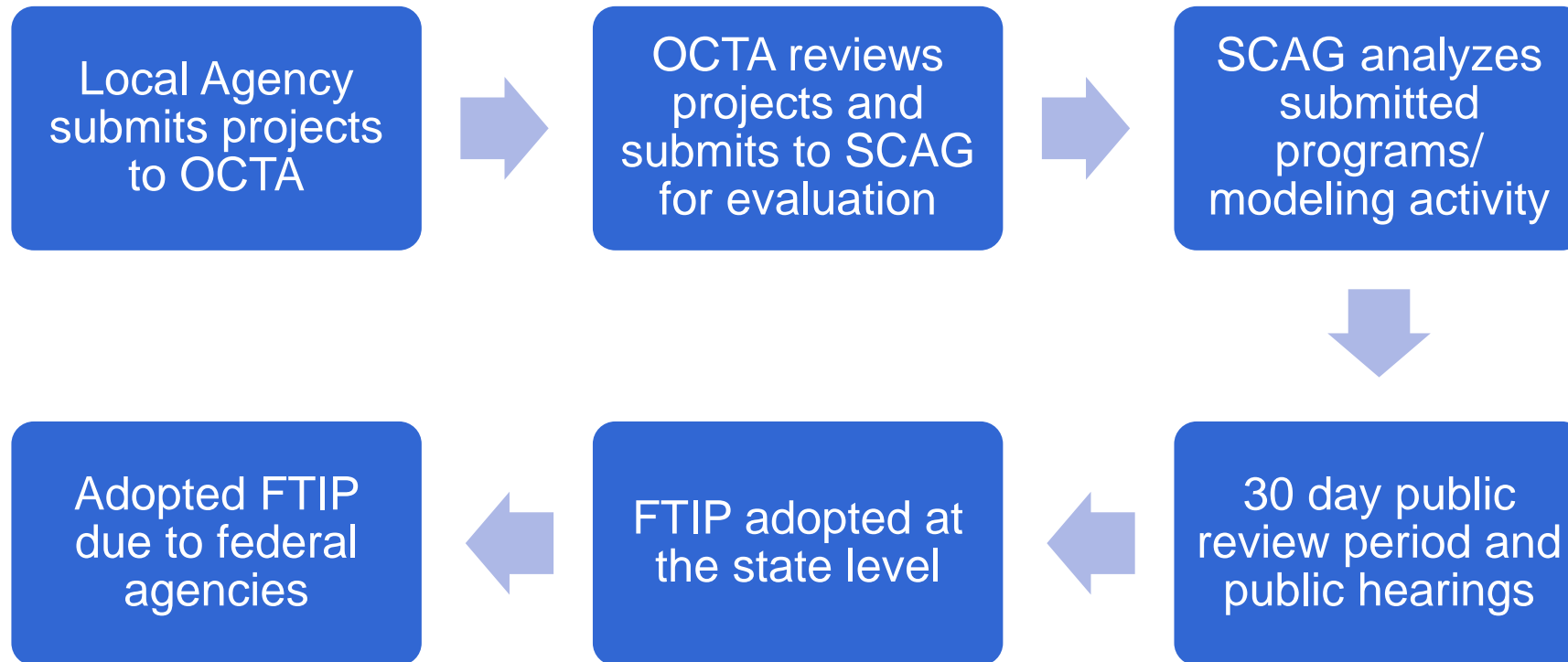
NOW, THEREFORE, BE IT RESOLVED, by the City Council of the City of [CITY],
does hereby affirm the City’s commitment to the projects submitted in the 2023 Federal
Transportation Improvement Program.

City council resolutions are
required for projects to be
included in the FTIP.

Due to OCTA by **November 30,
2021.**



Base FTIP Submittal



2023 FTIP Adoption Schedule

Tentative Schedule	
September 13-17, 2021	Optional meetings with OCTA for questions
October 1, 2021	Local agencies submit carry-over projects for 2023 FTIP
November 30, 2021	Resolutions due to OCTA
December 13, 2021	OCTA 2023 FTIP Plan to Board of Directors
January 6, 2021	OCTA 2023 FTIP submittal due to SCAG
August 30, 2022	Draft 2023 FTIP due to Caltrans
November 2022	2023 FTIP Adoption approved by Caltrans
December 2022	2023 FTIP Adoption approved by FHWA/FTA

- The 2021 FTIP cycle will still be ongoing while the 2023 FTIP is being approved
 - Amendments will still need to be submitted if there are project changes

Monthly Amendment Process

Modifying Projects in the FTIP

Following the initial base FTIP submittal, projects *do not* need to be included in the monthly amendment process if there are no changes.

When necessary, there are two types of changes that can be made:

- ☐ Administrative Modification
- ☐ Formal Amendment

Administrative Modifications

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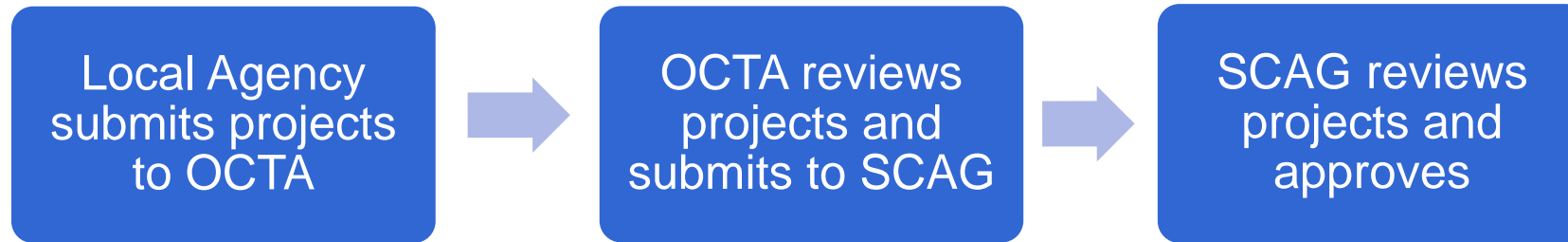
Formal Amendments

- *Includes:*
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Amending & Modifying FTIP Projects- Administrative Modifications



- ❑ Each administrative modification does not require a public hearing or review.
- ❑ There is no federal approval process. FHWA and FTA receive the administrative modifications for informational purposes.

Formal Amendments

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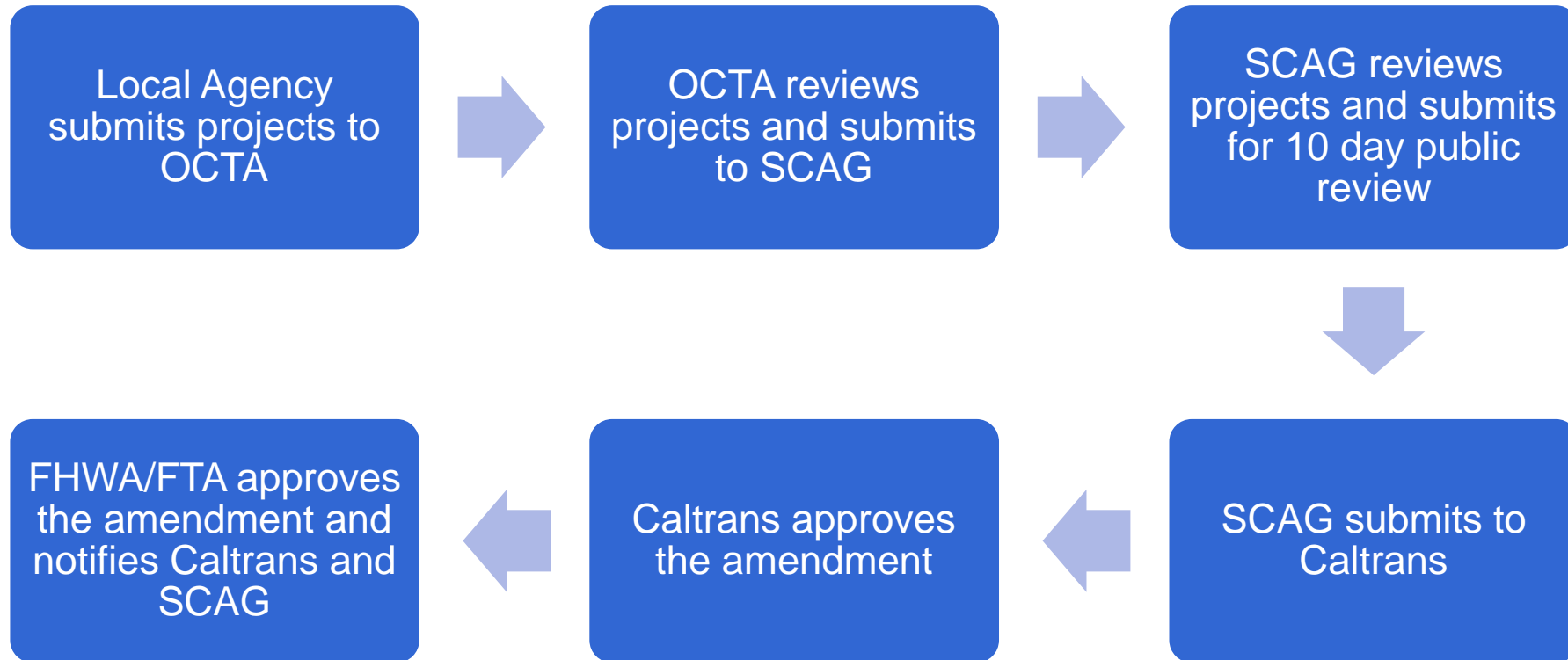
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Amending & Modifying FTIP Projects- Formal Amendments



Amending & Modifying FTIP Projects

- FTIP Amendment Turn Around Time

Amendment Category	Public Review	Estimated Approval (+/-)
Administrative Modification	N/A	45 days
Formal Amendment	Minimum 10 days	90 days

FTIP Schedule- Upcoming Amendments

FTIP AMENDMENT/ADMINISTRATIVE MODIFICATION SCHEDULE			
Due to OCTA	Due Date	Amendments	Administrative Modifications
Tuesday, August 17, 2021	Tuesday, September 7, 2021		Administrative Modification #21-11
Tuesday, September 14, 2021	Tuesday, October 5, 2021	Amendment #21-12	

Common Problems

- ❑ Incomplete or unclear descriptions
 - ❑ Sample descriptions can be found on pg. 9 of the Tutorial and Amendment Guide. Click on **Guidelines** next to **Project Description** in the TIP Program Information section for detailed information
- ❑ Unidentified number and direction of existing and proposed lanes
 - ❑ Ensure the number of lanes proposed and existing is accurately identified.

Common Problems

- ❑ Missing local match amounts
 - ❑ List local funding match sources in the Programming Information section. A list can be found on pg. 38 of the Tutorial and Amendment Guide.
- ❑ Missing completion dates
 - ❑ Ensure project has an accurate future completion date.
 - ❑ Additionally, TCM projects have strict requirements regarding completion dates.
- ❑ Missing environmental documents type and dates
 - ❑ Select the appropriate Environmental Document type. Enter the completion date (or anticipated completion date) of the associated document. More information can be found on pg. 13 of the Tutorial and Amendment Guide.

Review of Important Dates

- September 14th – Changes for #21-12 Formal Amendment due to OCTA (optional)
- October 1st – 2023 FTIP carry-over deadline
- November 30th – City Council resolutions for 2023 FTIP due to OCTA

- ❑ Active Transportation Program and Bicycle Corridor Improvement Program are programmed into group projects and managed in the FTIP by OCTA
 - ❑ Local agencies are responsible for maintaining accuracy in group project listings and providing backup documentation while OCTA will review for compliance.
- ❑ If an ATP or BCIP project is a Transportation Control Measure (TCM) they require their own FTIP sheet
 - ❑ Local agencies are responsible for managing FTIP sheets and providing backup documentation while OCTA will review for compliance.
- ❑ TCMs are strategies that reduce transportation-related air pollution, GHG emissions, and fuel use by reducing vehicle miles traveled and improving roadway operations.
 - ❑ Bicycle facilities more than 1 mile and pedestrian facilities more than ¼ mile.

OCFundtracker



- ❑ OCFundtracker is the online database for the TIP in Orange County and the means by which project revisions are processed.
- ❑ An updated system has been released, and major changes are reviewed in the [Tutorial and Amendment Guide](#).

Questions / Contact

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<http://www.octa.net/About-OCTA/FTIP/>