



Federal Transportation Improvement Program and OC FundTracker Database



2019 FTIP Database Tutorial and Amendment Guide

Agenda

- Introductions
- Informational Items
- Workshop materials
- FTIP Process and Overview
- OC Fundtracker Exercise
- Optional: Assist with changes to FTIP Projects

Introduction

- The Federal Transportation Improvement Program (FTIP) is the primary programming and implementation component for projects identified in the Regional Transportation Plan (RTP).
- The FTIP lists projects and identified funding sources for every transportation project that:
 - Receives Federal funds.
 - Receives State funds.
 - Regionally significant projects.

What is “Regionally Significant”?

FTIP 2019

Helpful Hints



What is considered a "regionally significant" project?

Projects that impact the

County and region, such as:

- Freeways/ Highways
- Expansions to Principal Arterial (8 lane)
- Expansions to Major Arterial (6 lane)
- Routes that provide access to regional shopping centers, airports, amusement parks, etc.
- Goods Movement
- Transit Centers, Rail Stations, Airports, Ports
- Fixed Transit Routes

Administrative Modifications

Includes changes:

- That do not affect the regional emissions analysis
- That do not affect the timely implementation of the Transportation Control Measures
- In fund source, fund changes, lead agency, minor project description, and split or combining projects
- In funding less than 40% or less than \$10 million of the **total project cost or project phase**

Formal Amendments

Includes:

- Any major change to the project that can not be made through an administrative modification
- Any change that may require new conformity determination
- Cost increases over 40% or \$10 million or more of the **total project cost or project phase**

(Helpful Hints Handout)

The 2019 FTIP period covers a six-year period from FFY18/19 to FFY23/24. Projects listed in the last two years will be considered informational consistent with federal regulations.



2019 FTIP- Additional Provisions

Projects must:

- Be fully funded.
 - Includes approved funds *only* (back-up documentation)
- Comply with the Southern California Association of Governments (SCAG) long-range RTP.

Major Changes from previous FTIP cycles

- Active Transportation
 - Non-motorized transportation projects or projects that include/improve non-motorized transportation must use program codes (page 13 of Guidebook).
 - FTIP is required for the obligation of funds(E-76)
- 40% and \$10 million thresholds for amendments are being enforced by project total cost and for project phase

Major Changes from previous FTIP guidelines

- Guidelines have been updated to reflect new information for Fixing America's Surface Transportation Act and SB1 Road Repair and Accountability Act
- Additional Information on Performance Measures and Implementation Schedule are provided

Roles and Responsibilities

- Local Agencies
- Orange County Transportation Authority (OCTA)
- Southern California Association of Governments (SCAG)

Roles and Responsibilities

Local Agencies

- Submit projects that are required in the FTIP.
- Add new projects
- Delete existing projects
- Modify existing projects
- Carry over active projects
- Ensure projects comply with FTIP guidelines.
- Ensure projects comply with Transportation Control Measure (TCM) requirements.
- Ensure projects are fully funded.
- Provide backup documentation authorizing funding for projects.

OCTA

- Administers the FTIP in Orange County.
- Programs OCTA related projects into the FTIP.
- Reviews projects submitted from local agencies for FTIP and RTP compliance.
- Prepares final submittal to SCAG.
- Prepares group project listings for:
 - Regional Active Transportation Program (ATP)
 - Arterial Pavement Management Program (APM)
 - Bicycle Corridor Improvement Program (BCIP)
 - Highway Bridge Program (HBP)

SCAG

- Maintains the regional FTIP database.
- Reviews projects for FTIP compliance.
- Submits projects to Caltrans and Federal Highways Administration (FHWA) / Federal Transit Administration (FTA) for review.
- Prepares group project listings for:
 - Statewide ATP
 - Highway Safety Improvement Program (HSIP)
 - Safe-Routes to School (SRTS/SR2S)

2019 FTIP

- shopping centers, airports, amusement parks, etc.
- Goods Movement
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Because only the first four years of the program are financially constrained, the FTIP is updated every two years.

FTIP Cycle

Stage 1

- Base FTIP Adoption Submittal

Stage 2

- Monthly Amendment Process

Base FTIP Adoption Submittal

Carry Over Projects

- Active projects **always** need to be officially carried over from the outgoing FTIP cycle to the new FTIP cycle
- Allows agencies to move projects from an outgoing FTIP cycle to a new FTIP adoption
- 2017 FTIP to 2019 FTIP

Base FTIP Adoption Submittal

Required Resolution

- Agency's City Council or Board is required to approve a resolution regarding local agency FTIP base submittal.

Sample Resolution

SAMPLE FINANCIAL RESOLUTION

RESOLUTION NO. _____

A RESOLUTION OF THE (CITY) WHICH CERTIFIES THAT (CITY) HAS THE RESOURCES TO FUND THE PROJECTS IN THE FFY 2018/19 – 2023/24 TRANSPORTATION IMPROVEMENT PROGRAM AND AFFIRMS ITS COMMITMENT TO IMPLEMENT ALL PROJECTS IN THE PROGRAM

WHEREAS, [CITY] (CITY) is located within the metropolitan planning boundaries of the Southern California Association of Governments; and

WHEREAS, [CITY] City Council has authorized projects submittals to Orange County Transportation Authority's (OCTA) Federal Transportation Improvement Program (FTIP); and

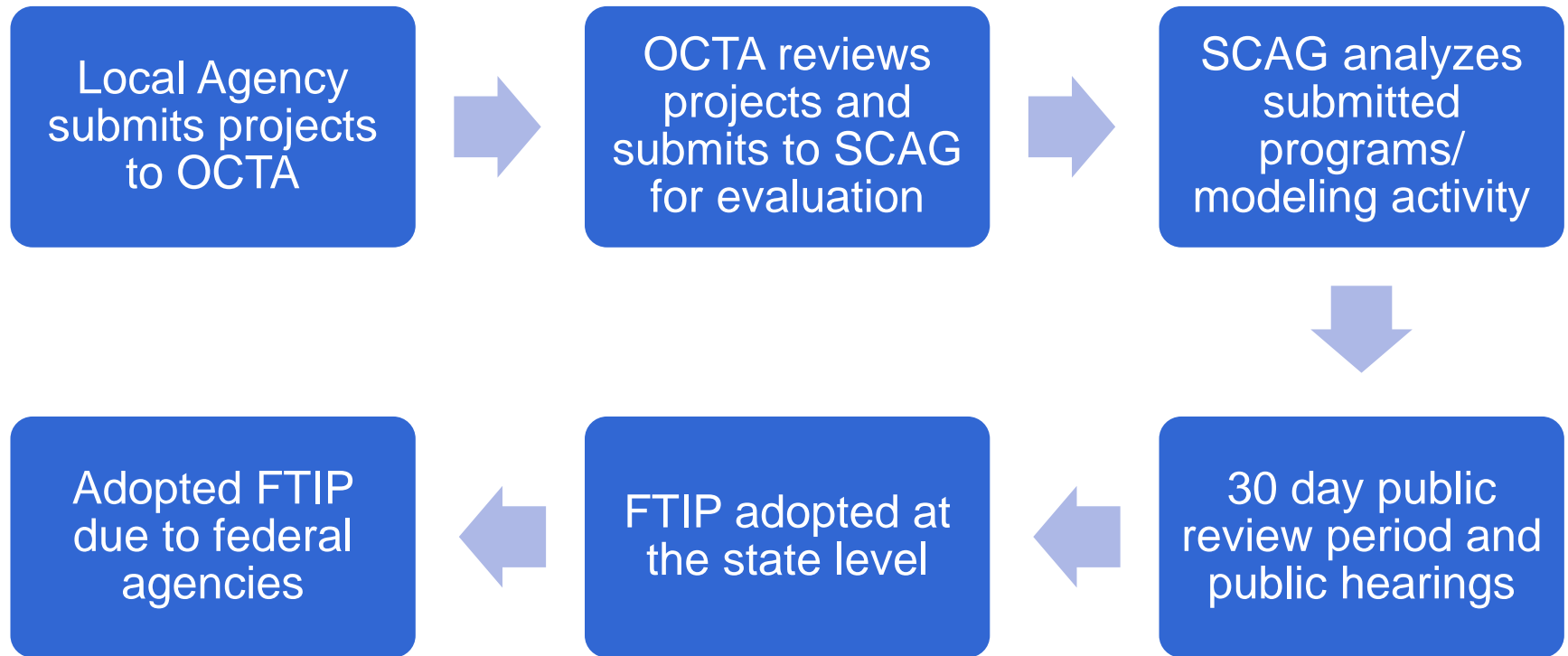
WHEREAS, CITY is the lead agency for projects and will comply with applicable local, state, and federal provisions including but not limited to the Federal Transportation Improvement Program, California Environmental Quality Act, National Environmental Policy Act, Americans with Disabilities Act, and Buy America; and

WHEREAS, CITY agrees to construct Transportation Control Measures projects as noted in the amendments in a timely manner.

NOW, THEREFORE, BE IT RESOLVED, by the City Council of the City of [CITY], does hereby affirm the City's commitment to the projects submitted in the 2019 Federal Transportation Improvement Program.

PASSED, APPROVED AND ADOPTED this ____ day of _____, ____.

Base FTIP Submittal



Monthly Amendment Process

Modifying Projects in the FTIP

Following the initial base FTIP submittal, projects *do not* need to be included in the monthly amendment process if there are no changes.

When necessary, there are two types of changes that can be made:

- Administrative Modification
- Formal Amendment

Administrative Modifications

FTIP 2019

:Helpful Hints



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Formal Amendments

Includes:

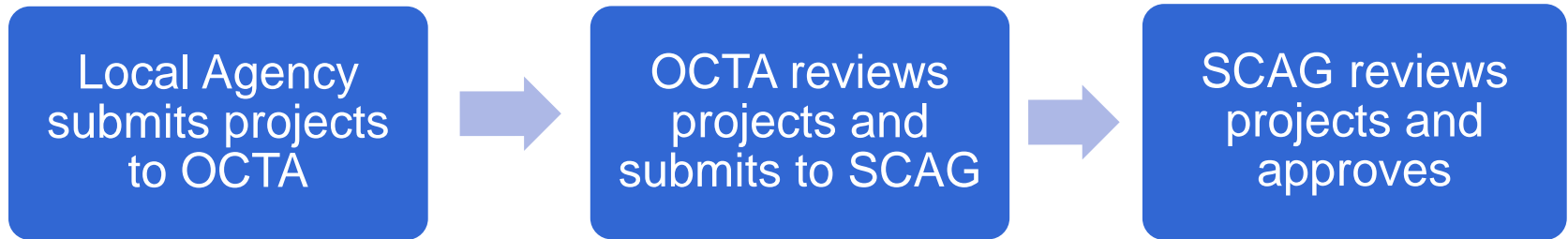
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Amending & Modifying FTIP Projects- Administrative Modifications



- Each administrative modification does not require a public hearing or review.
- There is no federal approval process. FHWA and FTA receive the administrative modifications for informational purposes.

Formal Amendments

FTIP 2019

:Helpful Hints



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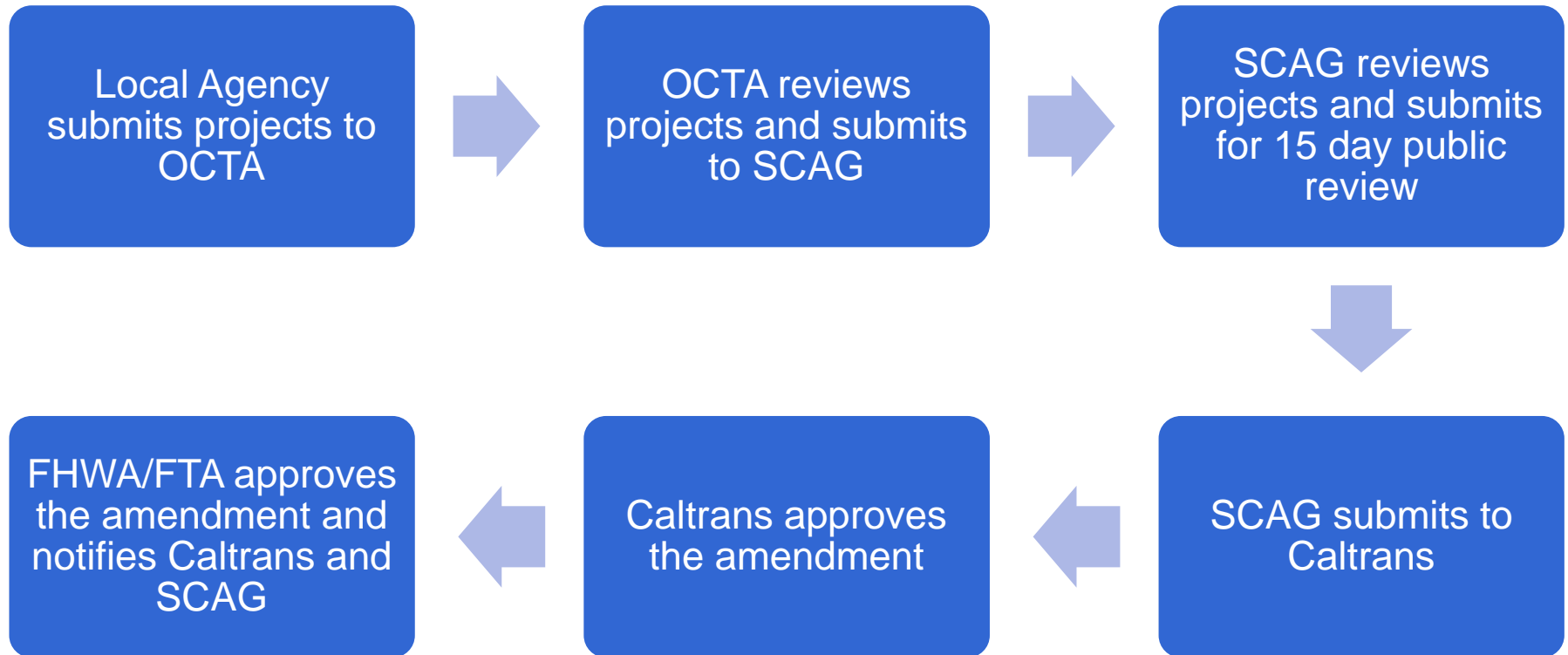
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Amending & Modifying FTIP Projects- Formal Amendments



Amending & Modifying FTIP Projects

- FTIP Amendment Turn Around

| Amendment Category | Public Review | Estimated Approval (+/-) |
|-----------------------------|-----------------|--------------------------|
| Administrative Modification | N/A | 45 days |
| Formal Amendment | Minimum 15 days | 90 days |

FTIP Schedule- Upcoming Amendments

| Due to OCTA Programming | Amendments | Administrative Modifications |
|------------------------------|---------------------------|------------------------------------|
| Tuesday, September 12, 2017 | Amendment #17-14 | |
| | | |
| | | |
| Tuesday, October 31, 2017 | | Administrative Modification #17-15 |
| | | |
| | | |
| Wednesday, November 01, 2017 | 2019 County TIP Submittal | |
| | | |
| | | |
| Tuesday, December 05, 2017 | Amendment #17-16 | |
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Questions / Contact

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Log Onto OCFundtracker

- From Internet Browser
 - <http://ocfundtracker.octa.net>
 - Enter username and password
 - Click “FTIP”