

Date: Monday, August 14, 2006

Time: 9:00 a.m.

Where: Orange County Transportation Authority Headquarters
600 South Main Street, First Floor - Conference Room 154
Orange, California 92868



AGENDA

Orange County Transportation Authority Board Meeting
OCTA Headquarters
First Floor - Room 154, 600 South Main Street
Orange, California
Monday, August 14, 2006, at 9:00 a.m.

ACTIONS

Any person with a disability who requires a modification or accommodation in order to participate in this meeting should contact the OCTA Clerk of the Board, telephone (714) 560-5676, no less than two (2) business days prior to this meeting to enable OCTA to make reasonable arrangements to assure accessibility to this meeting.

Invocation

Director Silva

Pledge of Allegiance

Director Pringle

Agenda Descriptions

The agenda descriptions are intended to give members of the public a general summary of items of business to be transacted or discussed. The posting of the recommended actions does not indicate what action will be taken. The Board of Directors may take any action which it deems to be appropriate on the agenda item and is not limited in any way by the notice of the recommended action.

Public Comments on Agenda Items

Members of the public wishing to address the Board of Directors regarding any item appearing on the agenda may do so by completing a Speaker's Card and submitting it to the Clerk of the Board. Speakers will be recognized by the Chairman at the time the agenda item is to be considered. A speaker's comments shall be limited to three (3) minutes.



AGENDA

ACTIONS

Special Matters

1. **Presentation of Resolutions of Appreciation for Employees of the Month for July 2006**

Present Orange County Transportation Authority Resolutions of Appreciation Nos. 2006-45, 2006-108, 2006-47 to Maria Stokes, Coach Operator; Daniel Chargualaf, Maintenance; and Eugenia Pinheiro, Administration, as Employees of the Month for July 2006.

2. **Public Hearing for the Proposed Bus Service Improvements**
Scott Holmes/John D. Byrd

Overview

The purpose of the August 14, 2006, public hearing is to receive public comment and input regarding proposed service changes to increase and improve the Orange County Transportation Authority's bus services. Fully executed, the program would require about 22,300 annual revenue vehicle hours, at a cost of approximately \$1.2 million annually. It is estimated these improvements would generate up to 453,000 additional annual boardings.

Recommendations

- A. Conduct the public hearing on August 14, 2006, and receive public comment regarding the proposed bus service improvements.
- B. Direct staff to return to the Board of Directors with results of the public hearing and final recommendations.



AGENDA

ACTIONS

Consent Calendar (Items 3 through 7)

All matters on the Consent Calendar are to be approved in one motion unless a Board Member or a member of the public requests separate action on a specific item.

Orange County Transportation Authority Consent Calendar Matters

3. Approval of Minutes

Of the Orange County Transportation Authority and affiliated agencies' regular meeting of July 24, 2006.

4. Approval of Resolutions of Appreciation for Employees of the Month for July 2006

Adopt Orange County Transportation Authority Resolutions of Appreciation Nos. 2006-45, 2006-108, and 2006-47 to Maria Stokes, Coach Operator, Daniel Chargualaf, Maintenance, and Eugenia Pinheiro, Administration, as Employees of the Month for July 2006.

5. Selection of a Consultant to Conduct the Annual Transportation Development Act Audits for the Orange County Transportation Authority
Lisa M. Monteiro

Overview

The Orange County Transportation Authority requires the services of a public accounting firm to perform the annual state-mandated independent audit and review of Transportation Development Act funds for fiscal years ended June 30, 2006, 2007, and 2008, with options to extend the agreement for two additional years.

Recommendations

- A. Select the firm of Mayer Hoffman McCann P.C. to conduct the Transportation Development Act audit services set forth in the Request for Proposals 6-0384.



AGENDA

ACTIONS

5. (Continued)

- B. Authorize the Chief Executive Officer to execute an agreement between the Orange County Transportation Authority and Mayer Hoffman McCann P.C. in a not-to-exceed amount of \$175,000 for an initial term of three years and two one year option terms.

6. Combined Transportation Funding Program March 2006 Semi-Annual Review

Kanwal J. Singh/Paul C. Taylor

Overview

Twice each year, the Orange County Transportation Authority meets with local agencies to assess the status of projects funded as part of the Combined Transportation Funding Programs. Overall status of the Combined Transportation Funding Programs and project change requests are provided. This report summarizes staff recommendations, in consultation with Technical Steering and Advisory committees, regarding adjustments to the project allocations. Also, included is an amendment to the Combined Transportation Funding Program final report provisions for work by local agency forces.

Recommendations

- A. Approve the staff recommended adjustments to the Combined Transportation Funding Program projects.
- B. Approve amendment to the Combined Transportation Funding Program final report provisions for work by local agency forces.



AGENDA

ACTIONS

Orange County Local Transportation Authority Consent Calendar Matters

7. **Gateway Monument Sign for Santa Ana Freeway (Interstate 5) Gateway Project**
Charles Guess/Paul C. Taylor

Overview

The Orange County Transportation Authority is considering the installation of a gateway monument sign along the Santa Ana Freeway (Interstate 5) at the Orange-Los Angeles county line. A collaboration with the California Department of Transportation and the City of Buena Park to develop a conceptual design for submission is proposed to be funded through an amendment to an existing cooperative agreement.

Recommendation

Authorize the Chief Executive Officer to execute Amendment No. 2 to Cooperative Agreement C-5-2358 between the Orange County Transportation Authority and City of Buena Park, in an amount not to exceed \$10,000, for the conceptual design of the Orange County gateway sign on the Santa Ana Freeway (Interstate 5) in Buena Park.

Regular Calendar

Orange County Transportation Authority Regular Calendar Matters

8. **2006 Long-Range Transportation Plan Short-Term Actions**
Kia Mortazavi/Paul C. Taylor

Overview

The 2006 Long-Range Transportation Plan establishes a future vision for the Orange County transportation system. Short-term actions to refine this vision are presented for review and approval.



AGENDA

ACTIONS

8. (Continued)

Recommendation

Approve the 2006 Long-Range Transportation Plan short-term actions, and direct staff to provide annual updates on these activities.

Orange County Local Transportation Authority Regular Calendar Matters

9. **City-Initiated Transit Projects to Support Metrolink Service Expansion** Jeanne Spinner LaMar/Paul C. Taylor

Overview

On June 26, 2006, the Orange County Transportation Authority Board of Directors requested changes to the proposed evaluation criteria for the City-Initiated Transit Extensions to Metrolink program to more clearly define how Measure M transit funding and land use planning priorities are related. City representatives also requested changes in the cooperative agreement to better foster collaboration through streamlined contract processing. Revised evaluation criteria and cooperative agreements are offered for consideration.

Recommendations

- A. Approve revised evaluation criteria and initial priorities to provide guidance for cities on priorities for the 2008 competitive phase of the City-Initiated Transit Extensions to Metrolink program based on step one results.
- B. Approve for distribution to cities a sample cooperative agreement for the City-Initiated Transit Extensions to Metrolink program. Cities will use this as a template to apply for \$100,000 grants to conduct planning independently or with other municipalities.



AGENDA

ACTIONS

Other Matters

10. **Testing of Wireless Fidelity Service on Metrolink**
Abbe McClenahan/Paul C. Taylor

11. **Chief Executive Officer's Report**

12. **Directors' Reports**

13. **Public Comments**

At this time, members of the public may address the Board of Directors regarding any items within the subject matter jurisdiction of the Board of Directors, but no action may be taken on off-Agenda items unless authorized by law. Comments shall be limited to three (3) minutes per speaker, unless different time limits are set by the Chairman subject to the approval of the Board of Directors.

14. **Closed Session**

There is no Closed Session scheduled.

15. **Adjournment**

The next regularly scheduled meeting of the OCTA/OCTD/OCLTA/OCSAFE/OCSSAAV Board will be held at **9:00 a.m. on August 28, 2006**, at OCTA Headquarters at 600 South Main Street, First Floor - Room 154, Orange, California.



August 14, 2006

To: Members of the Board of Directors
From: Arthur T. Leahy, Chief Executive Officer
Subject: Public Hearing for the Proposed Bus Service Improvements

Overview

The purpose of the August 14, 2006, public hearing is to receive public comment and input regarding proposed service changes to increase and improve the Orange County Transportation Authority's bus services. Fully executed, the program would require about 22,300 annual revenue vehicle hours, at a cost of approximately \$1.2 million annually. It is estimated these improvements would generate up to 453,000 additional annual boardings.

Recommendations

- A. Conduct the public hearing on August 14, 2006, and receive public comment regarding the proposed bus service improvements.
- B. Direct staff to return to the Board of Directors with results of the public hearing and final recommendations.

Background

The proposed service improvements focus on the creation of seven new routes and modifications to two existing routes designed to improve local and regional connections and to increase ridership. Resources for the proposed improvements are included in the fiscal year 2006-07 budget approved by the Board of Directors in June 2006.

Discussion

The proposals are based on publicly generated input through outreach efforts, transit studies, customer and coach operator comments, and detailed analysis by staff. They have been reviewed by the Citizens' Advisory Committee, Special Needs in Transit Committee, System Improvement Team, and the Service Review Committee. The final staff recommendations will include

consideration of additional public input received through August 14, the public hearing date.

A public hearing is required as the changes are considered significant per the Federal Transit Administration (FTA) (Attachment A). The proposals will affect the following routes:

ROUTE	SERVICE TO/FROM	SUMMARY OF PRELIMINARY RECOMMENDATIONS
82	Foothill Ranch to Mission Viejo	All days of the week, extend the current routing west to Foothill Ranch via Santa Margarita Parkway and Portola Parkway and east to Saddleback College via Antonio Parkway and Crown Valley Parkway
633	Fullerton Park and Ride to Orange County Fair	For four weekends only in July 2006, implement a new route from the Fullerton Park and Ride to the Orange County Fair. Regular fares would apply.
670	Golden West Transportation Center to Orange County Fair	For four weekends only in July 2006, implement a new route from the Golden West Transportation Center to Orange County Fair. Regular fares would apply.
686	Irvine Transportation Center to Irvine Spectrum	Weekends only, make permanent an experimental shuttle from the Irvine Transportation Center to the Irvine Spectrum timed to meet Metrolink trains. Regular fares would be charged and appropriate Metrolink fare media honored.
691	Junipero Serra Park and Ride to Orange County Fair	For four weekends only in July 2006, implement a new route from the Junipero Serra Park and Ride to the Orange County Fair. Regular fares would apply.
693	San Clemente to Talega	All days of the week, implement a new shuttle from Wal-Mart to Talega timed to meet Route 193 at Wal-Mart
757	Pomona to Downtown Santa Ana Express	Weekdays only, make permanent a modified routing alignment serving Fairplex Park-and-Ride in Pomona, Children's Hospital of Orange County, St. Joseph's Hospital, MainPlace Mall, and the Santa Ana Civic Center. Adopt an introductory fare of \$1.25.
758	Chino to Irvine Express	Weekdays only, make permanent an experimental intercounty express route from the Chino Transit Center to the Irvine Transportation Center. Adopt an introductory fare of \$1.25.
794	Riverside to South Coast Metro Express	Weekdays only, implement a new intercounty express route from the Tyler Mall in Riverside to the South Coast Metro area. Adopt an introductory fare of \$2.50.
794A	Corona to South Coast Metro Express	Weekdays only, implement a new alternate intercounty express route from Corona to the South Coast Metro area. Adopt an introductory fare of \$2.50.

Implementation dates for the services described above vary. Route 686 began on an experimental basis in June 2006. Orange County Fair routes 633, 670,

and 691 were implemented on an experimental basis in July 2006. Pending the results of the hearing, the Board is asked to consider continued operation of these services in the future during the fair season. The modification to Route 757 and the institution of new Route 758 were implemented on an experimental basis in July 2006 as well. Routes 82 and 794 are proposed to begin service in September 2006. Route 693 is proposed to begin service in December 2006 or before, pending the resolution of technical issues involving specialized trip scheduling.

A Notice of Public Hearing (Attachment B) were advertised in the Orange County Register and Excelsior newspapers on July 14, 2006. Letters regarding the Notice of Public Hearing were sent to public officials, colleges and universities on July 14 as well. Information regarding the public hearing was distributed on-board Orange County Transportation Authority (Authority) buses and posted on the Authority website.

Next Steps

After review of the input received through the August 14, 2006, public hearing, Authority staff will return on August 28, 2006, with final recommendations for consideration by the Board of Directors.

Summary

The proposed service improvements would require approximately 23,300 annual vehicle service hours at a cost of approximately \$1.2 million and generate up to 453,000 additional annual boardings. Staff will return with final recommendations for Board consideration on August 28, 2006.

Attachments

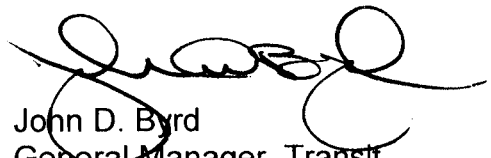
- A. Public Hearing Requirements
- B. Notice of Public Hearing

Prepared by:



Scott Holmes
Manager, Service Planning &
Customer Advocacy
(714) 560-5710

Approved by:



John D. Byrd
General Manager, Transit
(714) 560-5341

Public Hearing Requirements

The Authority's public hearing policy is derived from Section 5(i)(3) of the former Urban Mass Transportation Act of 1964 (Federal Transit Act, as amended).

- This policy requires the Authority conduct a public hearing when there is a change that affects 25 percent or more of a route's length or service mileage or modifies the fare structure.
- A public hearing is recommended since bus route changes meet these criteria. The notification of the upcoming public hearing on August 14, 2006, will be made by the Clerk of the Board.
- A copy of the Notice of Public Hearing is provided for Board review (Attachment C). Letters announcing the public hearing date will be sent to principal elected city and county officials as well as colleges in the county.
- In addition, a Notice of Intent to hold a Public Hearing will be published and placed on all Authority transit coaches on impacted routes to comply with the intent of the Authority's Notification to Patrons procedure.



Notice of Public Hearing

**Re: Orange County Transportation Authority
Proposed Bus Service Improvements
August 14, 2006**

NOTICE IS HEREBY GIVEN that the Orange County Transportation Authority (OCTA) Board of Directors will hold a public hearing at 9:00 a.m. on Monday, August 14, 2006 at the Orange County Transportation Authority, 550 South Main St., Orange, California. The public hearing shall be for the purpose of considering improvements to the County's bus system.

Description of Service Changes: The proposed service improvements focus on the creation of seven new routes, and modifications to two existing routes designed to improve local and regional connections and to increase ridership. These recommendations fall under Section 5(i)(3) of the Urban Mass Transportation Act of 1964 (Federal Transit Act, as amended) and thus require a public hearing.

Description of Service Area: The service area affected by the proposed improvements can be described as regional or intercounty including Los Angeles, Orange, Riverside and San Bernardino counties for express services and contiguous with the Orange County boundaries for local and shuttle services.

Relocation: No persons, families or businesses will be displaced by the proposed service changes.

Environment: In accordance with the California Environmental Quality Act (CEQA) of 1970, OCTA has determined that the project will have no significant effect on the environment and meets the criteria of an exemption under CEQA Reg. 15061(b)(3). OCTA will file a Notice of Exemption for the proposed bus system improvements.

Comprehensive Planning: The proposed changes conform with comprehensive land use and transportation planning in the area. The necessity for the system improvements is the result of an evaluation of the transit needs of Orange County conducted by the OCTA.

Elderly and Persons with Disabilities: The proposed service improvements will not adversely affect public transit availability for the elderly and persons with disabilities.

Public Participation: Interested persons may submit, orally or in writing, recommendations and evidence with respect to the proposed bus system improvements. A description of the proposed service improvements will be available for public inspection between July 10, 2006 and August 14, 2006. Please contact the Clerk of the Board, Wendy Knowles, at the OCTA Administrative Offices, 550 South Main Street, P.O. Box 14184, Orange, California, 92613-1584. Telephone (714) 560-5676.

At the Public Hearing, the OCTA Board of Directors will afford interested persons or agencies an opportunity to submit, either orally or in writing, evidence and recommendations with respect to the effects of the proposed bus system improvements.

ALL INTERESTED PARTIES are invited to submit, orally or in writing, evidence and recommendations with respect to the proposed bus system improvements. Written comments may be addressed to the Clerk of the Board:

Wendy Knowles
Clerk of the Board
Orange County Transportation Authority
550 South Main Street
P.O. Box 14184
Orange, CA 92863-1584
Telephone (714) 560-5676

Proposed Bus Service Improvements *Public Hearing*

Board of Directors Meeting
August 14, 2006



Introduction

In June, Board of Directors set August 14, 2006 for a public hearing to receive public input on bus service changes for Calendar Year 2006.

Background

Proposals follow three major themes:

- New and improved local and community service
- New and improved Express Bus service
- New special event service to the Orange County (OC) Fair

Proposed Improvements

- Creation of seven new routes:
 - Routes 633, 670, 686, 691, 693, 758 and 794
- Modifications to two existing routes:
 - Routes 82 and 757

Local/Community Service

- Extend Route 82 (*Mission Viejo to Rancho Santa Margarita*) to Foothill Ranch and to Saddleback College in September 2006
- Make permanent new Route 686 (*Irvine Transportation Center to Irvine Spectrum*); implemented on an experimental basis in June 2006
- Implement new Route 693 (*San Clemente to Talega*) in December 2006

Express Bus Service

- Make permanent modifications to Route 757 (*Pomona to Santa Ana Express*)
- Make permanent new Route 758 (*Chino to Irvine Spectrum Express*)
 - Adopt an introductory fare of \$1.25 for Routes 757 and 758
- Implement new Route 794/794A (Riverside/Corona to South Coast Metro Express) in September 2006
 - Adopt an introductory fare of \$2.50

OC Fair Service

- Approve continued operation of new service to OC Fair that operated on an experimental basis
 - Route 633 (*Fullerton Park-and-Ride to OC Fair*)
 - Route 670 (*Golden West Transportation Center to OC Fair*)
 - Route 691 (*Junipero Serra Park and Ride to OC Fair*)
- Weekend service operated from July 8 through July 30, 2006 from 10 a.m. to 12:30 a.m. on a 30-minute frequency

Estimated Resources & Impacts

Approximate annual resource/cost:

- 22,300 Revenue Vehicle Hours (RVH)
- \$1.2 million

Estimated ridership:

- Up to approximately 453,000 additional annual boardings

Next Steps

- Evaluate public comment
- Return to the Board with results of the Public Hearing and final recommendations on August 28, 2006

Minutes of the Meeting of the
Orange County Transportation Authority
Orange County Service Authority for Freeway Emergencies
Orange County Local Transportation Authority
Orange County Transit District
Board of Directors
July 24, 2006

Call to Order

The July 24, 2006, regular meeting of the Orange County Transportation Authority and affiliated agencies was called to order by Chairman Brown at 9:02 a.m. at the Orange County Transportation Authority Headquarters, Orange, California.

Roll Call

Directors Present: Arthur C. Brown, Chairman
Carolyn Cavecche, Vice Chair
Peter Buffa
Bill Campbell
Lou Correa
Richard Dixon
Michael Duvall
Cathy Green
Gary Monahan
Chris Norby
Curt Pringle
Miguel Pulido
Susan Ritschel
James W. Silva
Thomas W. Wilson
Gregory T. Winterbottom
Cindy Quon, Governor's Ex-Officio Member

Also Present: Arthur T. Leahy, Chief Executive Officer
Richard J. Bacigalupo, Deputy Chief Executive Officer
Wendy Knowles, Clerk of the Board
Laurena Weinert, Assistant Clerk of the Board
Kennard R. Smart, Jr., General Counsel
Members of the Press and the General Public

Directors Absent: Mark Rosen

Invocation

Director Wilson gave the invocation.

Pledge of Allegiance

Director Duvall led the Board and audience in the Pledge of Allegiance to the Flag of the United States of America.

Public Comments on Agenda Items

Chairman Brown announced that members of the public who wished to address the Board of Directors regarding any item appearing on the agenda would be allowed to do so by completing a Speaker's Card and submitting it to the Clerk of the Board.

Special Matters

1. Final Program Environmental Impact Report for the 2006 Long-Range Transportation Plan

Kia Mortazavi, Director of Strategic Planning, gave a verbal presentation regarding the final program environmental impact report (EIR) for the Long-Range Transportation Plan (LRTP).

Director Ritschel requested confirmation that the extension of the State Route 73 project is not part of the LRTP or the Investment Plan, and Mr. Mortazavi confirmed that understanding.

Director Correa requested confirmation that the extension of the State Route 57 was not part of this LRTP or the Investment Plan, and Mr. Mortazavi confirmed that is correct.

Public comments were heard from the following individuals:

Jerry Williams – thanked OCTA for its support for his organization, Save Our San Juan, in removing the extension of the State Route 73 from the project plan.

Bob King – extended his appreciation for the same.

Thomas Barrett – thanked the Board for that support on the same issue.

Joan Priestly – expressed her appreciation for the State Route 73 extension not being part of the LRTP

Mary Ann Tucker – expressed her appreciation for the same and added comments that the Board should consider infrastructure needs when building is done in the County and stated that she opposes Measure M funds being used for toll roads.

1. (Continued)

Motion was made by Director Campbell, seconded by Director Buffa, and declared passed by those present, to approve the resolution certifying the Program Environmental Impact Report for the 2006 Long Range Transportation Plan and adopting the Findings of Fact, Statement of Overriding Considerations, and Mitigation Monitoring and Reporting Program, in compliance with the California Environmental Quality Act.

2. Final 2006 Long-Range Transportation Plan

Kia Mortazavi provided a verbal presentation on this transportation plan.

A motion was made by director Buffa, seconded by Director Silva, and declared passed by those present, to:

- A. Recommend the Board of Directors adopt the Balanced Plan and approve the final 2006 Long-Range Transportation Plan.
- B. Direct staff to forward the final 2006 Long-Range Transportation Plan to the Southern California Association of Governments for inclusion in the 2007 Regional Transportation Plan.
- C. Direct staff to return in 60 days with an action plan to implement the recommendations of the 2006 Long-Range Transportation Plan.

Director Pringle acknowledged the extensive work done by OCTA staff on this Plan, and the widespread interaction with all 34 cities in the County over the past two years to bring this to fruition.

3. Adoption of the Renewed Measure M Transportation Plan and Ordinance Number 3

Chief Executive Officer (CEO), Arthur T. Leahy, provided opening comments and background on the work done to bring forward this Plan and Ordinance and introduced Monte Ward, Director of Special Projects.

Mr. Ward provided a verbal presentation on the work that was done over the past two years, emphasizing that the legal and administrative requirements have been met in these documents and have been approved by all 34 cities.

Mr. Ward further advised the Board that OCTA's Transportation 2020 Committee voted unanimously to adoption of the Ordinance and the resolution requesting placing the Measure M renewal on the ballot in November.

3. (Continued)

Public comments were heard from:

Hamid Bahadori, representing the Automobile Club of Southern California, stated that organization fully supports a renewal of Measure M.

Peter Herzog, who chaired the League of Cities' Measure M Super Committee, expressed that organization's support of the Measure.

Melanie Schlotterbeck, representing several environmental groups, stated that the environmental community supports Measure M.

A motion was made by Director Buffa and seconded by Director Pulido. A roll call vote was taken with the following votes recorded:

Ayes: Chairman Brown, Vice Chair Cavecche, Directors Buffa, Campbell, Correa, Dixon, Duvall, Green, Monahan, Norby, Pringle, Pulido, Ritschel, Silva, Wilson, and Winterbottom

Noes: None

Abstentions: None

Absent: Director Rosen

The motion was declared passed by the Board to:

- A. Adopt Orange County Local Transportation Authority Ordinance Number 3, including the Renewed Measure M Transportation Investment Plan.
- B. Adopt Orange County Local Transportation Authority Resolution Number 2006-41 requesting that the Orange County Board of Supervisors place the renewal of Measure M on the November 7, 2006, ballot.

Director Buffa stated that he has been involved with this work for a long time, and has never experienced a better coordinated effort on a project of this magnitude than what OCTA staff displayed.

4. **Resolutions In Recognition of League of Cities' Measure M Super Committee Members**

Resolutions of appreciation were presented to Peter Herzog, Joe Anderson, and Lisa Bist, who served in leadership capacities on this Committee.

Consent Calendar (Items 5 through 33)

Chairman Brown announced that members of the public who wished to address the Board of Directors regarding any item appearing on the agenda would be allowed to do so by completing a Speaker's Card and submitting it to the Clerk of the Board.

Director Campbell pulled item 7, Director Pringle pulled item 19, Vice Chair Cavecche pulled item 21, and Director Norby pulled item 23.

Orange County Transportation Authority Consent Calendar Matters

5. Approval of Chairman's Travel Request

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to approve the request for Chairman Brown to travel to Portland, Oregon, from August 13-16, 2006, to attend the 2006 Western Council of Governments (COG) Conference.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

6. Approval of Minutes

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to approve the minutes of the Orange County Transportation Authority and affiliated agencies' regular meeting of June 26, 2006.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

7. Internal Audit Department Reporting Structure and Management Recruitment Process

Director Campbell pulled this item and stated that he noted there was not a public member to the Audit Oversight Committee and wondered if that position would be added.

Director Duvall, who chaired the Committee, stated that there was a question in that regard as to where that public member would come from and how effective that position would be.

7. (Continued)

Director Pringle stated that a discussion was held to vet what role the public member would have on the Committee in terms of where they would come from, and what their purpose would be. He stated that the Committee did not come to a conclusion how that member could come from the public to participate in audit capacities. Therefore, he believed the discussion was moved to the Board with the recommendation of the establishment of an Audit Committee and leave that issue open for discussion at the Board meeting today.

Director Campbell stated he would support that on a basis if it is brought back for review again at the Committee and have further discussion in that setting.

A motion was made by Director Campbell, seconded by Director Wilson, and declared passed by those present, to bring that issue before the Committee at a future time and to:

- A. Approve the formation of an Audit Oversight Committee, determine its membership, and direct staff to:
 - 1. Research possible candidates for a public member of the Audit Oversight Committee and return with recommendations; and
 - 2. Establish a schedule for the Audit Oversight Committee to meet with the Internal Audit Department on a quarterly basis.
- B. Direct staff to continue to provide all internal audit reports to the Finance and Administration Committee for review and approval.
- C. Approve a change in reporting structure whereby the Internal Audit Department would report to the Chief Executive Officer and to the Board of Directors.
- D. Retain the title of Internal Audit Manager.

An amendment to the original motion was requested, stating that the Internal Auditor position would report administratively to the Chief Executive Officer, and functionally to the Board of Directors. The makers of the motion and the motion's second agreed to this amendment.

Director Pulido was not present for this vote.

8. Fiscal Year 2006-07 Internal Audit Plan

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to:

- A. Approve the Fiscal Year 2006-07 Internal Audit Plan.
- B. Direct the Internal Audit Manager to provide quarterly updates on the audit plan progress.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

9. Evaluation Criteria Weighting for Selection of Triennial Performance Auditors for the Fiscal Years 2003-04 through 2005-06

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to approve the proposed evaluation criteria weighting specifying the following:

- Qualifications of the firm - 20 percent
- Staffing and project organization - 30 percent
- Work plan - 30 percent
- Cost and price - 20 percent

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

10. Review of Department of Motor Vehicles' Pull Notice Program

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to receive and file the Review of Department of Motor Vehicles' Pull Notice Program, Internal Audit Report No. 06-010.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

11. Fiscal Year 2005-06 Internal Audit Plan, Fourth Quarter Update

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to receive and file the Fiscal Year 2005-06 Internal Audit Plan, Fourth Quarter Update.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

12. Third Quarter Payroll Review

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to receive and file the Third Quarter Payroll Review, Internal Audit Report No. 06-029.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

13. Transit Police Services Audit

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to direct staff to implement the recommendations made in the Transit Police Services audit.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

14. Preparation of the 2007 State and Federal Legislative Platforms

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to approve the preparation plan and timeline for the State and Federal Legislative Platforms.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

15. Federal Legislative Status Report

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to receive and file as an information item.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

16. Resolution for National Criminal History Access

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to authorize the submission of a resolution to the California State Department of Justice to request permission to access the Federal Bureau of Investigation national database for pre-employment, licensing and certification criminal background checks.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

17. Award of Construction Contract for Americans with Disabilities Act Bus Stop Modifications (Phase 3, Construction Package 7)

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to authorize the Chief Executive Officer to execute Agreement C-6-0412, between the Orange County Transportation Authority and LH Engineering Company, Inc., the lowest responsive, responsible bidder, in an amount not to exceed \$775,905, for Americans with Disabilities Act bus stop modifications in the cities of Huntington Beach, Costa Mesa, and Seal Beach.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

18. Selection of a Consultant for Construction Management, Inspection, and Survey Support Services for the State Route 90 (Imperial Highway) Smart Street Project

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to:

- A. Select Lim & Nascimento Engineering Corporation as the top-ranked firm to provide construction management, inspection, and survey support services for the State Route 90 (Imperial Highway) Smart Street project.
- B. Authorize staff to request a cost proposal from Lim & Nascimento Engineering Corporation and negotiate an agreement for their services.
- C. Authorize the Chief Executive Officer to execute the final agreement.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

19. Recommended South Orange County Signal Synchronization Pilot Project

Director Pringle pulled this item and stated that he felt the synchronization concept is a good one; however, he feels that there must be a clear purpose for the project.

A motion was made by Director Pringle, seconded by Director Correa, and declared passed by those present, to:

- A. Designate Oso Parkway/Pacific Park Drive as the south Orange County signal synchronization pilot project.
- B. Direct staff to return to the Board of Directors with a schedule and budget for the Oso Parkway/Pacific Park Drive project.
- C. Director staff to return in 90 days for a discussion of public policy objectives that would be the focus of the Euclid Street and Oso Parkway/pacific Park Drive pilot projects.

20. 91 Express Lanes Pavement Maintenance Project

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to:

- A. Authorize staff to negotiate a price for the California Department of Transportation to perform pavement work on the 91 Express Lanes.
- B. Authorize the Chief Executive Officer to execute a contribution agreement with the California Department of Transportation in an amount not to exceed \$1,370,600.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

21. Rail Program Status Update

Vice Chair Cavecche pulled this item and commended staff on this report. She also referenced page 8, where it speaks to OCTA not having a policy on funding implementation. She feels the cities are taking on the burden of the increased Metrolink service and the increased freight lines, and believes it is this Authority's responsibility, along with Metrolink, to work with the cities on funding opportunities to allow them to implement what needs to be done in the cities.

A motion was made by Vice Chair Cavecche, seconded by Director Winterbottom, and declared passed by those present, to receive and file this item as information.

22. 2006 Regional Transportation Improvement Program and Financial Plan

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to approve the Orange County Regional Transportation Improvement Program project list and financial plan for fiscal year 2006-07 through fiscal year 2011-12, and adopt Orange County Transportation Authority Resolution No. 2006-48.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

23. Evaluation Criteria Review for Design Services for the Garden Grove Freeway (State Route 22) Phase II Project

Director Norby pulled this item and stated that an ad hoc committee had been put in place to address some concerns by the Regional Planning and Highways Committee that the number of bidders on certain work may be limited. The Committee Members wanted to make sure the bid process was open to as many different contractors as possible.

Director Correa emphasized that it is very important to ensure that competition exists on these project contracts.

23. (Continued)

Director Pringle inquired what work is included in Phase II. Kia Mortazavi, Director of Strategic Planning, responded that it includes two connectors from the State Route 22 to the Interstate 405, an additional carpool lane on the Interstate 405, and a connector from the Interstate 405 to the Interstate 605.

Director Pringle asked for clarification if this is the project management aspect of the project, or the design services portion. Mr. Mortazavi responded that it is the preliminary engineering portion.

Director Pringle inquired who did that work on the prior State Route (SR) 22, and Mr. Mortazavi stated that work was done by OCTA through a contractor.

Mary Toutounchi, Project Manager, Phase I of the State Route 22 Project, responded that Board direction had been to encourage more bidders and competition; therefore, staff decided to divide the project (for preliminary design only) into two parts.

James Donich, representing General Counsel's office, offered comments, stating that the preliminary design only will be handled in two parts; the project itself will be handled as one effort.

Director Pringle stated that he would like further discussion on this approach and brought back to Committee for further exploration of the design-build issue as OCTA has pursued in the past.

Director Correa (who chairs the Regional Planning and Highways Committee) stated that he would be happy to bring this issue back to his Committee for further discussion.

A motion was made by Director Dixon, seconded by Director Norby, and declared passed by those present, to bring this matter back to Committee to explore further and understand how Members would prefer to handle developing more competition on contracts and this contract in particular.

Director Campbell was not present for this vote.

24. Amendment to Agreement for Telecommunications Systems Maintenance and On-Site Technician(s)

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to authorize the Chief Executive Officer to execute Amendment No. 2 to Agreement C-3-0666 between the Orange County Transportation Authority and Verizon Select Services Inc., in an amount not to exceed \$225,000, to exercise the first option year offered in the original agreement.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

25. Second Quarter 2006 Debt and Investment Report

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to receive and file the Quarterly Debt and Investment Report prepared by the Treasurer as an information item.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

26. New York Meetings with Rating Agencies, Insurers, and Investors

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to receive and file as an information item.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

27. Customer Relations Service Quality Report for Third Quarter Fiscal Year 2005-06

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to receive information for discussion and possible action as deemed appropriate by the Board.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

28. Agreement for Rideshare Support Services

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to authorize the Chief Executive Officer to execute Agreement C-6-0344 between the Orange County Transportation Authority and Inland Transportation Services for the period August 1, 2006 to July 31, 2007 in an amount not to exceed \$100,000, with three option terms for Rideshare support services.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

29. Citizens' Advisory Committee Update

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to:

- A. Receive and file the status report on Citizens' Advisory Committee activities over the past year.
- B. Recommend the Board of Directors adopt resolutions of appreciation 2006-42 through 2006-44 for members of the 2005-2006 Citizens' Advisory Committee whose terms have expired and will not be continuing.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

Orange County Transit District Consent Calendar Matters

30. Pass Sales Review

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to direct staff to implement the recommendations made in the Pass Sales Review.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

31. Agreement for Asphalt Pavement Reconstruction at the Garden Grove Base

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to:

- A. Increase the Development Division Fiscal Year 2006-07 Budget by \$589,000, for asphalt pavement reconstruction at the Garden Grove Base.
- B. Contingent upon approval of budget increase, authorize the Chief Executive Officer to execute Agreement C-6-0419 between the Orange County Transportation Authority and R.J. Noble, the lowest responsive, responsible bidder, in an amount not to exceed \$589,000, for asphalt pavement reconstruction at the Garden Grove Base.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

32. Agreement for Purchase of Electrical Utility Equipment at the Santa Ana Base

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to authorize the Chief Executive Officer to execute an Agreement C-6-0501 between the Orange County Transportation Authority and Southern California Edison, in an amount not to exceed \$93,569, for new electrical service upgrades at the Santa Ana Base.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

33. Amendment to Agreement for Uniform Rental and Cleaning Service

A motion was made by Director Green, seconded by Director Buffa, and declared passed by those present, to authorize the Chief Executive Officer to execute Amendment No. 1 to Agreement C-3-0683 between the Orange County Transportation Authority and Prudential Overall Supply, in an amount not to exceed \$91,984, to exercise the one-year option, for uniform rental and cleaning service.

Directors Dixon, Pulido, and Winterbottom were not present for this vote.

Regular Calendar

There were no Regular Calendar Matters agendized for this meeting.

Other Matters

34. Chairman's Goals

Chairman Brown offered a matrix to Members on the progress of these goals set for 2006, offering comments on various completed items and those still to be done. He stated that his priority at this time was to get the renewal of Measure M on the November ballot for the County.

35. Chief Executive Officer's Goals

The Board of Directors and the Chief Executive Officer (CEO) agreed upon a set of goals for 2006 earlier in the year. CEO, Arthur T. Leahy, presented a matrix of the goals set for 2006 and provided comments on status of those underway at the present time.

36. Chief Executive Officer's Report

CEO, Arthur T. Leahy, stated that he met with John Barna, California Transportation Commission, last Friday along with the five Southern California commissions. He stated that they are beginning work on the guidelines for proposals for the state bond, which will be on the November ballot. He stated there will be some preliminary discussions in September and October. Several working groups have been created to shape the guidelines. OCTA will work closely with Caltrans District 12 to put together a list of projects in the fall. If the bond measure passes, there will be prompt action at the state level to get projects proposed in late December and in the first quarter of 2007.

Mr. Leahy advised the Board that a new Internal Auditor has been hired. Finance and Administration Committee met with the new Auditor last week.

37. Directors' Reports

Director Quon advised the Board that Caltrans has received Federal Highways' concurrence on a high-occupancy project for the State Route 22 project, as requested several weeks ago.

Director Correa referred to a letter distributed to the Board wherein the Riverside County Transportation Commission (RCTC) has requested to become a metropolitan planning organization (MPO) on its own. Director Correa asked what would be the consequences should this occur and RCTC pulls out of the current MPO with OCTA.

37. (Continued)

Director Dixon stated that he feels air quality issues need to be considered in this situation, and that OCTA may want to explore what the future role with the Southern California Association of Governments, should this take place.

Director Correa asked the Chairman to create an ad hoc committee to discuss RCTC becoming an independent MPO and what the consequences of that action may be to OCTA. Chairman Brown asked that any Directors who would care to participate in this ad hoc committee contact him in writing.

38. Public Comments

At this time, Chairman Brown stated that members of the public may address the Board of Directors regarding any items within the subject matter jurisdiction of the Board of Directors, but no action would be taken on off-agenda items unless authorized by law.

39. Closed Session

A Closed Session was conducted:

A. Pursuant to Government Code Section 54956.9(c).

The Board approved an additional \$565,849 for the Mincom contract, which brings the contract total to \$3,664,467.

Directors Rosen and Campbell were not present for this vote.

B. Pursuant to Government Code Section 54956.8, to discuss negotiations regarding the real property located at 1750 South Douglass Road, Anaheim, California. The real property is owned by the County of Orange, and its negotiator is Thomas Mauk; the negotiator for the Orange County Transportation Authority is James S. Kenan.

Directors Rosen, Campbell, Pringle, and Pulido were not present for this portion of the Closed Session.

40. Adjournment

The meeting was adjourned at 11:20 a.m. Chairman Brown announced that the next regularly scheduled meeting of the OCTA/OCTD/OCLTA/OCSAFE/OCSAAV Board will be held at **9:00 a.m. on August 14, 2006**, at OCTA Headquarters at 600 South Main Street, First Floor - Room 154, Orange, California.

ATTEST

Wendy Knowles
Clerk of the Board

Arthur C. Brown
OCTA Chairman



ORANGE COUNTY
TRANSPORTATION AUTHORITY

RESOLUTION

MARIA STOKES

WHEREAS, the Orange County Transportation Authority recognizes and commends Maria Stokes; and

WHEREAS, be it known that Maria Stokes has been a principal player at the OCTA and has performed her responsibilities as a Coach Operator in a professional, safe, courteous, and reliable manner; and

WHEREAS, Maria Stokes has demonstrated that safety is paramount by achieving five years of safe driving; and

WHEREAS, Maria Stokes, after receiving a general description of two runaway juveniles, quickly identified the teens and contacted Central Communications. Both of the juveniles were considered "at risk" due to their age, family background, and were possibly attempting to return to their home state of Texas. Stanton Police were in the process of setting up a Command Post and notifying the Houston Police and Social Services when they were advised of the situation. Maria's quick response stopped a potentially dangerous situation and saved significant resources; and

WHEREAS, Maria Stokes has continually represented the OCTA in a highly professional and competent manner to members of the Orange County community.

NOW, THEREFORE, BE IT RESOLVED that the Authority does hereby declare Maria Stokes as the Orange County Transportation Authority Coach Operator Employee of the Month for July 2006; and

BE IT FURTHER RESOLVED that the Orange County Transportation Authority Board of Directors recognizes Maria Stokes' valued service to the Authority.

Dated: August 14, 2006

Arthur C. Brown, Chairman
Orange County Transportation Authority

Arthur T. Leahy, Chief Executive Officer
Orange County Transportation Authority

OCTA Resolution No. 2006-45





ORANGE COUNTY
TRANSPORTATION AUTHORITY

RESOLUTION

DANIEL CHARGUALAF

WHEREAS, the Orange County Transportation Authority recognizes and commends Daniel Chargualaf; and

WHEREAS, be it known that Daniel Chargualaf has been a principal player in our Maintenance Department with his innovative contributions, service, and commitment; and

WHEREAS, Daniel is often recognized for his outstanding technical support and positive attitude provided towards any work assignment he is given. In addition to his regular duties, Daniel serves as the Safety Captain of the first shift, his primary duties are to regularly appraise work areas, methods of work and report findings to employees and management when appropriate; and

WHEREAS, Daniel's hard work illustrates the meaning of integrity, communication and teamwork; and

WHEREAS, his dedication to his duties and desire to excel are duly noted and he is recognized as an outstanding Authority employee.

NOW, THEREFORE, BE IT RESOLVED that the Authority does hereby declare Daniel Chargualaf as the Orange County Transportation Authority Maintenance Employee of the Month for July 2006; and

BE IT FURTHER RESOLVED that the Orange County Transportation Authority Board of Directors recognizes Daniel Chargualaf's valued service to the Authority.

Dated: August 14, 2006

Arthur C. Brown, Chairman
Orange County Transportation Authority

Arthur T. Leahy, Chief Executive Officer
Orange County Transportation Authority

OCTA Resolution No. 2006-108





ORANGE COUNTY
TRANSPORTATION AUTHORITY

RESOLUTION

EUGENIA PINHEIRO

WHEREAS, *the Orange County Transportation Authority recognizes and commends Eugenia Pinheiro; and*

WHEREAS, *be it known that Eugenia has transformed the FMLA administrative process to ensure compliance and provide excellent customer service for all OCTA employees; and*

WHEREAS, *Eugenia played an integral part in the Human Resources reorganization, in her new role as a Human Resources Business Partner she has performed as an effective leader and is extremely innovative in her approach to this role. She ensures excellent communication and takes initiative to effect change, she is always looking for ways to improve and streamline the HR processes; and*

WHEREAS, *Eugenia takes her position to heart, she became certified in June 2006 as a Senior Professional in Human Resources (SPHR) which is a significant milestone and accomplishment within the HR field, she is also a Certified Payroll Professional, making her a valuable member of our Human Resources Team; and*

WHEREAS, *Eugenia's superb communication skills, teamwork, professional ethics, can-do attitude and customer focus best exemplifies the values of the Orange County Transportation Authority.*

NOW, THEREFORE, BE IT RESOLVED *that the Authority does hereby declare Eugenia Pinheiro as the Orange County Transportation Authority Administrative Employee of the Month for July 2006; and*

BE IT FURTHER RESOLVED *that the Orange County Transportation Authority Board of Directors recognizes Eugenia Pinheiro's valued service to the Authority.*

Dated: August 14, 2006

Arthur C. Brown, Chairman
Orange County Transportation Authority

Arthur T. Leahy, Chief Executive Officer
Orange County Transportation Authority

OCTA Resolution No. 2006-47





BOARD COMMITTEE TRANSMITTAL

August 8, 2006

To: Members of the Board of Directors

From: Wendy Knowles, Clerk of the Board ^{WK}

Subject: Selection of a Consultant to Conduct the Annual Transportation Development Act Audits for the Orange County Transportation Authority

This item will be considered by the Finance and Administration Committee on August 11, 2006. Following Committee consideration of this matter, staff will provide you with a summary of the discussion and action taken by the Committee.

Please call me if you have any comments or questions concerning this correspondence. I can be reached at (714) 560-5676.



August 11, 2006

To: Finance and Administration Committee
From: ^{ATL/EA} Arthur T. Leahy, Chief Executive Officer
Subject: Selection of a Consultant to Conduct the Annual Transportation Development Act Audits for the Orange County Transportation Authority

Overview

The Orange County Transportation Authority requires the services of a public accounting firm to perform the annual state-mandated independent audit and review of Transportation Development Act funds for fiscal years ended June 30, 2006, 2007, and 2008, with options to extend the agreement for two additional years.

Recommendations

- A. Select the firm of Mayer Hoffman McCann P.C. to conduct the Transportation Development Act audit services set forth in the Request for Proposals 6-0384.
- B. Authorize the Chief Executive Officer to execute an agreement between the Orange County Transportation Authority and Mayer Hoffman McCann P.C. in a not-to-exceed amount of \$175,000 for an initial term of three years and two one-year option terms.

Background

The Orange County Transportation Authority (Authority) requires a public accounting firm to perform the annual state-mandated independent audit and review of Transportation Development Act (TDA) funds for fiscal years ended June 30, 2006, 2007, and 2008, with options to extend the agreement for two additional years. The TDA provides as a source of funding for public transportation the Local Transportation Fund (LTF), which came into existence in 1972. The LTF revenues are derived from $\frac{1}{4}$ cent of the 7.75 percent retail sales tax collected statewide. The $\frac{1}{4}$ cent is returned by the State Board of

Equalization to each county according to the amount of tax collected in that county.

Since July 1, 1988, the Authority has assumed responsibility for administering the TDA's various components under the LTF, which includes: Article 3, Bicycle and Pedestrian Facilities program; Article 4, Operating and Capital program; and Article 4.5, Paratransit Operating and Capital program, under Chapter 4 of the State of California's Public Utilities Code (PUC). An important aspect of this responsibility is to ensure that the LTF allocated and dispersed funds were used in accordance with applicable TDA rules and regulations, and Authority policies and procedures.

Discussion

This procurement was handled in accordance with the Authority's procedures for professional and technical services. In addition to cost, many other factors are considered in an award for professional and technical services. The requirement was handled as a competitive procurement. Award is recommended to the firm offering the most effective overall proposal considering such factors as staffing, prior experience with similar projects, approach to the requirements, and technical expertise in the field.

The project was advertised on June 1 and June 5, 2006, in a newspaper of general circulation, and posted on CAMMNET on June 1, 2006. A pre-proposal conference was held on June 9, 2006, and was attended by eight consultants.

On July 5, 2006, five offers were received. An evaluation committee composed of staff from Contracts Administration and Materials Management, Internal Audit, Financial Planning & Analysis, and Community Transportation Services was established to review all offers submitted.

The evaluation team met on July 10, 2006, to discuss and evaluate the proposals as submitted. Each member of the evaluation team prepared an evaluation for each proposal using a weighted average, as indicated below, for the following criteria:

- | | |
|-------------------------------------|------------|
| • Qualifications of the Firm | 20 percent |
| • Staffing and Project Organization | 25 percent |
| • Work Plan | 30 percent |
| • Cost and Price | 25 percent |

Based on this evaluation, two firms, Mayer Hoffman McCann P.C. and Thompson, Cobb, Bazilio & Associates, P.C., were determined to have the highest rankings and were within the competitive range, and were selected for interviews accordingly.

On July 13, 2006, the evaluation committee conducted interviews with the two firms above. The evaluation committee subsequently performed a final evaluation of the proposal rankings based on the interviews. The evaluation committee determined the firm that had the highest final ranking, whose proposal was most advantageous to the Authority, and who was the lowest and most qualified offeror. Based on their findings, the evaluation committee recommends the firm of Mayer Hoffman McCann P.C. to the Finance and Administration Committee for consideration of an award.

Firm and Location

Mayer Hoffman McCann P.C.
Irvine, California

Mayer Hoffman McCann P.C. is recommended for consideration of an award since it was the highest ranked firm overall. The firm has experience in auditing TDA funds, its proposed staff has extensive transit and governmental experience, the proposed work plan was excellent, and the firm provided the lowest proposed pricing. Additionally, the firm provided a well-prepared presentation with key personnel participating in providing good responses to questions, and the firm has practical knowledge about the Authority's requirements.

The project was approved in the Authority's Fiscal Year 2006-07 Budget, Executive Office, Internal Audit Department, Account 1610-7512-A0001-D3J, and is funded through the General Fund.

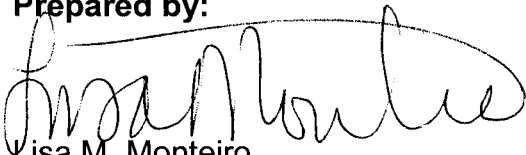
Summary

Considering the results of the proposals submitted by each firm and the interviews conducted, the Finance and Administration Committee is requested to recommend the selection of the firm Mayer Hoffman McCann P.C. to perform the TDA audit services for the Authority. The recommendations will be submitted for selection at the August 14, 2006, meeting of the Board of Directors. The Board of Directors will also be requested to approve and authorize the Chief Executive Officer to negotiate and execute an agreement with the selected consultant.

Attachment

None.

Prepared by:

A handwritten signature in black ink, appearing to read 'Lisa M. Monteiro', written over a horizontal line.

Lisa M. Monteiro
Acting Manager, Internal Audit
(714) 560-5591



BOARD COMMITTEE TRANSMITTAL

August 14, 2006

To: Members of the Board of Directors
From: Wendy Knowles, Clerk of the Board ^{WK}
Subject: Combined Transportation Funding Program March 2006 Semi-Annual Review

Regional Planning and Highways Committee

August 7, 2006

Present: Directors Cavecche, Correa, Dixon, Green, Monahan, Pringle, Rosen, and Ritschel
Absent: Director Norby

Committee Vote

This item was passed by all Committee Members present.

Committee Recommendations

- A. Approve the staff recommended adjustments to the Combined Transportation Funding Program projects.
- B. Approve amendment to the Combined Transportation Funding Program final report provisions for work by local agency forces.



August 7, 2006

To: Regional Planning and Highways Committee

From: Arthur T. Leahy, ^{mv} Chief Executive Officer

Subject: Combined Transportation Funding Program March 2006
Semi-Annual Review

Overview

Twice each year, the Orange County Transportation Authority meets with local agencies to assess the status of projects funded as part of the Combined Transportation Funding Programs. Overall status of the Combined Transportation Funding Programs and project change requests are provided. This report summarizes staff recommendations, in consultation with Technical Steering and Advisory committees, regarding adjustments to the project allocations. Also, included is an amendment to the Combined Transportation Funding Program final report provisions for work by local agency forces.

Recommendations

- A. Approve the staff recommended adjustments to the Combined Transportation Funding Program projects.
- B. Approve amendment to the Combined Transportation Funding Program final report provisions for work by local agency forces.

Background

The Combined Transportation Funding Program (CTFP) contains a variety of funding programs and sources including Measure M Local and Regional Streets and Roads revenues, as well as Regional Surface Transportation Program (RSTP) federal funds. Since 1991, the Orange County Transportation Authority (OCTA) has awarded more than \$700 million in Measure M project allocations programmed for fiscal years (FY) 1992-93 through 2009-10 and about \$400 million of RSTP federal funds to agencies through the CTFP on a competitive basis for transportation improvements throughout the county. OCTA also provides local agencies with a user-friendly comprehensive set of

guidelines for transportation funding and administration of these CTFP projects.

Discussion

The CTFP guidelines allow for adjustments or significant changes to approved projects on a semi-annual basis. The goals of the semi-annual review process are to review project status, update project cost estimates, determine the continued viability of projects, and address local agency issues. During the March 2006 semi-annual review, 24 agencies requested 113 various adjustments to Measure M and federal-aid projects. A summary of Measure M project allocations and completions by agencies is shown in Attachment A and detailed information of requested changes for these projects is shown in Attachment B.

In summary, adjustments to Measure M funded projects include:

- Twenty project allocations are proposed for early implementation, advancing approximately \$4.5 million. Funding for Measure M projects that are approved for advancement will be adjusted to the reprogrammed year.
- Fifty-three project allocation adjustments, totaling \$34.1 million, require additional time for implementation on various phases. The following provides a breakdown of these requests by delay causes as reported by the agencies.
 - Twelve project allocation delay requests are for additional time needed to resolve right-of-way or utility issues.
 - Twenty-two project allocation delay requests are to resolve environmental issues or obtain final design approvals from regulating agencies such as the California Department of Transportation or other affected agencies.
 - Nine allocation delays are to allow agencies more time to secure additional funding or align funding with other allocations.
 - Seven delay requests are to coordinate with other projects in the same area.
 - Three miscellaneous delay requests.

All but three of these adjustment requests are first time delays under the current time extension policy (Attachment C), with the remaining three being second time delays. The amount of delayed projects while significant is about 8 percent of the overall portion of the remaining Measure M, planned and in-progress, program.

- Two cancellations, totaling \$1.3 million, are requested.
- Twenty-three miscellaneous project allocation adjustments – among them, transfer of funds between phases, projects, or changes to scope are included for approval.
- Two new Growth Management Area (GMA) projects, totaling \$80,000, are proposed for funding. One GMA project received additional funds totaling \$160,000.

Many of the adjustment requests are for projects funded through the GMA districts. All requested changes to GMA funded projects must also be approved by the GMA elected-officials' bodies. These project adjustments submitted without elected-officials' approvals are being considered by OCTA, pending approval by the GMA elected-officials' bodies.

Overall, OCTA has awarded more than \$700 million in Measure M project allocations since 1991 on a competitive basis. Six hundred eighty-one project allocations totaling about \$319 million have been fully completed, including approval of final reports by OCTA.

The following table provides a summary of completed project allocations for the Measure M programs:

Measure M Program	No. Completed Project Allocations	Amount Completed
Growth Management Area	272	\$ 47,806,773
Intersection Improvement Program	96	\$ 47,536,730
Master Plan of Arterial Highways	43	\$ 43,774,911
Regional Interchange Program	27	\$ 33,017,597
Signal Improvement Program	145	\$ 26,280,926
Smart Street Program	29	\$ 114,904,422
Transportation Demand Program	69	\$ 6,023,606
Total	681	\$ 319,344,965

More detailed information of allotted and completed Measure M project allocations by jurisdiction is shown in Attachment A.

One hundred seventy-one project allocations totaling about \$43 million are in pending status, meaning project work has been completed and only final report is pending. One of the issues affecting approval of some of the submitted final reports is the way various agencies report work performed by the agency forces. To facilitate completion of the final reports, an amendment to the CTFP

provisions was developed, in consultation with OCTA's Internal Audit staff, for reporting reimbursable work performed by local agency forces (Attachment D). Currently, about 208 project allocations have started work and are at various stages of completion. Four hundred twenty-one project allocations, totaling about \$253 million, are programmed for FY 2005-06 through FY 2009-10.

For federal-aid projects, adjustments are requested for projects approved for funding through the Arterial Highway Rehabilitation Program (AHRP). Local agencies received RSTP federal funding for these projects and must adhere to state and federal timely-use requirements.

Adjustments to federally funded projects include:

- Seven AHRP projects are proposed for early implementation, advancing approximately \$4.5 million. Expedited project selection process, as noted below, allows advancement of federal-aid projects.
- Four AHRP project (2004 call projects) adjustments, totaling \$1.7 million, require additional time for implementation. OCTA has limited flexibility in accommodating delay requests for these federal-aid projects. These AHRP projects are programmed in FY 2005-06 and advancement of seven projects from FY 2006-07, as noted above, allows OCTA the opportunity to accommodate these requests. No delay requests beyond FY 2006-07 can be accommodated for these projects.
- One RSTP project adjustment transferring approximately \$300,000 from right-of-way to construction.
- Miscellaneous adjustments (to 2004 call AHRP projects), such as shifting of funds among the approved AHRP projects and modifications to project scope, are being implemented administratively as authorized by the OCTA Board of Directors on February 27, 2006, and are not included in this report.

Expedited Project Selection Procedures (EPSP) Process

Federal regulations allow for the advancement of federally funded projects that are programmed in the second or third year of the Federal Transportation Improvement Program. In the event that a project experiences delay or cancellation, the EPSP allow the flexibility to advance other projects as necessary to ensure all funding is obligated. This flexibility is critical to ensure that all RSTP funding programmed to AHRP is used in accordance with state and federal funding guidelines. These guidelines include timely-use provisions

including Chapter 783, Statutes of 1999, which states that funds must be obligated within the program year or may be lost to the region.

Summary

OCTA has recently completed a semi-annual review of projects funded through the CTFP. In total, 24 agencies requested or confirmed 113 project allocation adjustments. These adjustments to the CTFP allocations will be reflected in the interagency CTFP agreements. The next semi-annual review is scheduled for September 2006.

Attachments

- A. Measure M Project Allocations and Completions by Agencies Since 1991
- B. Combined Transportation Funding Program March 2006 Semi-Annual Review Adjustment Requests
- C. Combined Transportation Funding Program Time Extension Policy (Adopted as of November 2004)
- D. Amendment to the CTFP Final Report Provisions for Work by Local Agency Forces

Prepared by:



Kanwal J. Singh, P.E.
Section Manager
Project Delivery
(714) 560-5726

Approved by:



Paul C. Taylor, P.E.
Executive Director
Development
(714) 560-5431

Measure M Project Allocations and Completions by Agencies Since 1991

Agency	Growth Management Area			Intersection Improvement Program			Master Plan of Arterial Highways			Regional Interchange Program			Signal Improvement Program			Smart Street Program			Transportation Demand Management Program			Completed Project Alloc's			Project Alloc's To Date*
	# of Proj. Alloc's	Completed [\$]	# of Proj. Alloc's	Completed [\$]	# of Proj. Alloc's	Completed [\$]	# of Proj. Alloc's	Completed [\$]	# of Proj. Alloc's	Completed [\$]	# of Proj. Alloc's	Completed [\$]	# of Proj. Alloc's	Completed [\$]	# of Proj. Alloc's	Completed [\$]	# of Proj. Alloc's	Completed [\$]	# of Proj. Alloc's	Completed [\$]	# of Proj. Alloc's	Completed [\$]			
Aliso Viejo																									
Anaheim	29	5,212,379	6	5,721,270	1	986,613	4	4,161,876	21	3,172,844	8	36,499,948	19	1,646,946	88	57,401,876	150	110,751,311	12	1,313,587					
Brea	3	828,000	7	2,592,172	-	-	-	-	-	-	2	544,000	3	129,219	15	4,093,391	31	9,751,266							
Buena Park	11	1,360,000	3	707,534	-	-	-	-	2	341,375	3	18,857,827	-	-	19	21,266,736	50	26,718,613							
Costa Mesa	2	918,000	8	5,198,560	-	-	8	6,092,062	11	1,938,173	-	-	6	508,002	35	14,652,787	69	43,106,171							
County of Orange	40	6,364,924	9	6,354,748	7	5,156,468	-	-	4	480,400	2	2,260,000	3	230,000	65	20,846,540	115	49,299,117							
Cypress	5	980,552	2	186,321	-	-	-	-	5	1,457,553	-	-	1	13,440	13	2,637,866	30	5,752,495							
Dana Point	6	733,000	-	-	-	-	-	-	-	-	-	-	-	-	6	733,000	16	3,713,581							
Fountain Valley	7	2,318,405	12	4,984,487	4	1,190,835	2	3,783,109	12	2,725,200	-	-	1	100,000	38	15,102,036	48	20,833,739							
Fullerton	6	647,000	2	245,059	-	-	2	1,288,925	11	1,927,106	-	-	-	-	21	4,108,090	54	12,114,006							
Garden Grove	8	1,385,000	3	2,799,980	4	1,846,447	1	290,000	7	1,019,354	-	-	1	15,600	24	7,356,391	62	36,565,394							
Huntington Beach	8	1,185,079	2	348,075	1	73,894	-	-	2	408,800	2	7,960,301	-	-	15	9,976,149	33	21,142,583							
Irvine	22	2,716,454	4	2,237,751	6	5,640,959	2	5,693,152	14	2,613,998	-	-	8	669,018	56	19,571,332	139	59,728,728							
La Habra	4	551,000	1	150,000	-	-	-	-	5	559,474	3	2,438,333	1	100,000	14	3,798,807	48	27,778,662							
La Palma	1	25,000	-	-	-	-	-	-	1	146,992	-	-	-	-	2	171,992	5	976,763							
Laguna Beach	-	-	-	-	-	-	-	-	-	-	-	-	-	1	193,875	5	667,868								
Laguna Hills	5	641,000	5	2,233,399	-	-	-	-	2	898,194	-	-	-	-	12	3,772,593	23	7,036,311							
Laguna Niguel	6	1,365,998	1	67,843	-	-	-	-	-	-	-	-	-	1	84,160	8	1,518,001	24	8,901,249						
Laguna Woods	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	100,000	9	3,715,045							
Lake Forest	1	100,000	1	216,000	-	-	-	-	5	643,634	-	-	-	2	106,110	9	1,065,744	21	17,686,767						
Los Alamitos	5	537,000	3	183,301	-	-	-	-	7	516,085	1	5,036,000	-	-	16	6,272,386	24	12,248,103							
Mission Viejo	16	3,428,978	5	1,369,962	1	1,215,400	1	814,347	5	1,112,600	-	-	8	896,572	36	8,837,859	49	15,377,702							
Newport Beach	6	1,036,085	3	2,102,560	5	7,984,112	2	4,013,858	1	983,480	1	36,000	-	-	18	16,159,665	24	19,337,743							
Orange	8	1,148,500	6	4,455,043	3	3,262,448	2	500,247	1	248,295	-	-	3	285,000	23	9,899,533	75	22,191,953							
Placentia	3	463,000	-	-	-	-	-	-	2	579,970	-	-	-	-	5	1,042,970	23	6,755,047							
Rancho Santa Margarita	1	250,000	-	-	1	991,328	-	-	1	134,000	-	-	-	-	-	-	6	1,687,220							
San Clemente	4	685,000	-	-	-	-	1	2,507,000	-	-	-	-	-	-	-	-	5	3,192,000							
San Juan																									
Capistrano	10	975,000	1	30,000	-	-	-	-	1	222,888	-	-	1	62,739	13	1,290,627	26	8,363,186							
Santa Ana	17	5,783,400	6	3,820,031	6	12,665,654	2	3,873,031	13	2,476,457	-	-	5	352,983	49	28,971,556	168	67,595,557							
Seal Beach	7	1,146,600	2	783,544	-	-	-	-	2	373,062	-	-	-	-	11	2,303,206	23	5,944,968							
Stanton	2	242,919	-	-	-	-	-	-	2	212,500	-	-	-	-	4	455,419	18	7,814,240							
Tustin	2	821,500	-	-	-	-	-	-	1	119,037	3	12,442,661	2	400,000	8	13,783,198	20	28,081,934							
Villa Park	1	600,000	-	-	-	-	-	-	-	-	-	-	-	-	1	600,000	1	600,000							
Westminster	14	1,347,000	1	90,640	-	-	-	-	6	776,031	-	-	2	129,942	23	2,343,613	52	8,624,569							
Yorba Linda	12	2,010,000	3	658,440	4	2,760,753	-	-	1	195,424	4	28,826,352	-	-	24	34,450,969	33	36,001,024							
Total:	272	47,806,773	96	47,536,730	43	43,774,911	27	33,017,597	145	26,280,926	29	114,894,422	69	6,023,606	681	319,344,965	1,502	713,941,180							

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Agency	Project #	Program	Project Title	Phase	Current FY	Months	Proposed FY	Amount	Action Request	Proposed Amount	Reason	OCTA Staff Recommendations
Advances												
Anaheim	03-ANAH-GMA-1015	GMA	School Zone Vehicle Calming Signs	C	07/08	12	06/07	\$110,000	Advance	\$110,000	City requests to advance to install units sooner to reduce travel speed	Refer to GMA-TAC/E.O.
Anaheim	05-ANAH-GMA-2562	GMA	Savi Ranch/Weir Canyon Traffic Signal	C	08/09	24	06/07	\$125,000	Advance	\$125,000	City of Yorba Linda as Lead Agency will be constructing in FY 06/07	Refer to GMA-TAC/E.O.
Buena Park	05-BPRK-GMA-2708	GMA	Valley View Widening (Orangefhorpe to Lincoln)	C	08/09	24	06/07	\$250,000	Advance	\$250,000	City requests to advance to match FY with other allocations	Refer to GMA-TAC/E.O.
County	05-ORCO-GMA-2076	GMA	Warner Ave Bridge @ SAR	E	09/10	36	06/07	\$210,000	Advance	\$210,000	Design phase completed, construction contract awarded 12/20/2005	Refer to GMA-TAC/E.O.
Fountain Valley	05-FVLY-GMA-2544	GMA	Slater/Newhope Intersection Improvement	R	08/09	24	06/07	\$100,000	Advance	\$100,000	City requests to advance, project is already underway GMA TAC/E.O. Approved on 4/26/2006	Approve, approved by GMA TAC/E.O.
Orange	05-ORNG-GMA-2730	GMA	Orange Rail Safety Improvements	C	09/10	36	06/07	\$248,000	Advance	\$248,000	To combine work with OCTA Grade Crossing Safety Program project	Refer to GMA-TAC/E.O.
Orange	05-ORNG-GMA-2725	GMA	East Chapman Signal Coordination	C	09/10	36	07/08	\$80,000	Advance	\$80,000	To coordinate project with development schedule for East Orange	Refer to GMA-TAC/E.O.
Westminster	03-WEST-GMA-1228	GMA	CCTV Westminster Blvd. Phase 2	C	07/08	12	06/07	\$50,000	Advance	\$50,000	To align funding FY with other allocations GMA TAC/E.O. Approved on 4/26/2006	Approve, approved by GMA TAC/E.O.
Westminster	05-WEST-GMA-2552	GMA	Westminster Traffic Signal Improvement Phase I	C	08/09	24	06/07	\$50,000	Advance	\$50,000	City requests to advance to match GMA and SIP allocations and construct all phases (I thru V) under one contract. GMA TAC/E.O. Approved on 4/26/2006	Approve, approved by GMA TAC/E.O.
Westminster	05-WEST-GMA-2575	GMA	Westminster Traffic Signal Improvement Phase II	C	08/09	24	06/07	\$50,000	Advance	\$50,000	City requests to advance to match GMA and SIP allocations and construct all phases (I thru V) under one contract. GMA TAC/E.O. Approved on 4/26/2006	Approve, approved by GMA TAC/E.O.
Westminster	05-WEST-GMA-2594	GMA	Westminster Traffic Signal Improvement Phase III	C	08/09	24	06/07	\$50,000	Advance	\$50,000	City requests to advance to match GMA and SIP allocations and construct all phases (I thru V) under one contract. GMA TAC/E.O. Approved on 4/26/2006	Approve, approved by GMA TAC/E.O.
Westminster	05-WEST-GMA-2654	GMA	Westminster Traffic Signal Improvement Phase IV	C	09/09	24	06/07	\$50,000	Advance	\$50,000	City requests to advance to match GMA and SIP allocations and construct all phases (I thru V) under one contract. GMA TAC/E.O. Approved on 4/26/2006	Approve, approved by GMA TAC/E.O.
Westminster	05-WEST-GMA-2660	GMA	Westminster Traffic Signal Improvement Phase V	C	09/10	36	06/07	\$50,000	Advance	\$50,000	City requests to advance to match GMA and SIP allocations and construct all phases (I thru V) under one contract. GMA TAC/E.O. Approved on 4/26/2006	Approve, approved by GMA TAC/E.O.
Seal Beach	05-SBCH-GMA-2802	GMA	Seal Beach Blvd. and St. Cloud Intersection Improvements	E	09/10	36	06/07	\$45,000	Advance	\$45,000	City requests to advance	Approve, approved by GMA TAC/E.O.
Sub-Total GMA Program Advances										\$1,468,000		
Garden Grove	05-GGRV-IIP-2178	IIP	Magnolia/Trask Intersection Improvement	R	07/08	12	06/07	\$1,942,434	Advance	\$1,876,748	City requests to advance, ROW phase underway	Approve
Sub-Total IIP Program Advances										\$1,876,748		
Laguna Niguel	05-LNIG-RIP-2538	RIP	Crown Valley Parkway Widening	E	07/08	12	06/07	\$300,000	Advance	\$300,000	City requests to advance, Design phase underway	Approve
Sub-Total RIP Program Advances										\$300,000		

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Agency	Project #	Program	Project Title	Phase	Current FY	Months	Proposed FY	Amount	Action Request	Proposed Amount	Reason	OCTA Staff Recommendations
Orange	05-ORNG-SIP-2023	SIP	East Chapman Ave Signal Coordination	E	08/09	12	07/08	\$61,949	Advance	\$61,949	To coordinate project with development schedule for East Orange	Approve
Westminster	05-WEST-SIP-2588	SIP	Westminster Traffic Signal Improvement Phase III	C	08/09	24	06/07	\$244,000	Advance	\$229,994	City requests to advance to match GMA and SIP allocations and construct all phases (I thru V) under one contract	Approve
Westminster	05-WEST-SIP-2588	SIP	Westminster Traffic Signal Improvement Phase III	E	07/08	12	06/07	\$6,000	Advance	\$6,000	City requests to advance to match GMA and SIP allocations and construct all phases (I thru V) under one contract	Approve
Westminster	05-WEST-SIP-2600	SIP	Westminster Traffic Signal Improvement Phase IV	C	08/09	24	06/07	\$244,000	Advance	\$229,994	City requests to advance to match GMA and SIP allocations and construct all phases (I thru V) under one contract	Approve
Westminster	05-WEST-SIP-2600	SIP	Westminster Traffic Signal Improvement Phase IV	E	07/08	12	06/07	\$6,000	Advance	\$6,000	City requests to advance to match GMA and SIP allocations and construct all phases (I thru V) under one contract	Approve
Westminster	05-WEST-SIP-2658	SIP	Westminster Traffic Signal Improvement Phase V	C	08/09	24	06/07	\$238,000	Advance	\$224,338	City requests to advance to match GMA and SIP allocations and construct all phases (I thru V) under one contract	Approve
Westminster	05-WEST-SIP-2658	SIP	Westminster Traffic Signal Improvement Phase V	E	07/08	12	06/07	\$12,000	Advance	\$12,000	City requests to advance to match GMA and SIP allocations and construct all phases (I thru V) under one contract	Approve
Sub-Total SIP Program Advances								\$811,949		\$770,276		
Advances - Total All Measure M Programs								\$4,522,383		\$4,415,023		
Cancellations												
Cypress	03-CYPR-MPAH-1078	MPAH	Installation of Holder St. Bridge	C	06/07	N/A	N/A	\$611,556	Cancel	\$0	City council has decided not to proceed with this project at this time.	Approve
Sub-Total MPAH Program Cancel								\$611,556				
Fullerton	97-FULL-RIP-1071	RIP	Brookhurst @ SR-91	C	04/05	N/A	N/A	\$693,676	Cancel	\$0	Relinquished funds and reapplied	Approve
Sub-Total RIP Program Cancel								\$693,676				
Cancellations - Total All Measure M Programs								\$1,305,232				
Delays												
Buena Park	05-BPRK-GMA-2661	GMA	Signal Coordination Malvern/Chapman/LaMirada	C	05/06	24	07/08	\$80,000	Delay	\$80,000	Additional time needed to complete PS&E	Refer to GMA-TAC/E.O.
Buena Park	05-BPRK-GMA-2739	GMA	Beach Blvd/Malvern Ave/La Mirada Improvements Project	C	05/06	24	07/08	\$95,000	Delay	\$95,000	Additional time needed to complete R/W acquisition	Refer to GMA-TAC/E.O.
Costa Mesa	06-CMSA-GMA-2818	GMA	17th S/Orange Ave Intersection Improvement	C	05/06	24	07/08	\$120,000	Delay	\$120,000	To coordinate with design of AHRP pavement rehab project	Refer to GMA-TAC/E.O.
Costa Mesa	06-CMSA-GMA-2819	GMA	17th S/Santa Ana Intersection Improvement	C	05/06	24	07/08	\$60,000	Delay	\$60,000	To coordinate with design of AHRP pavement rehab project	Refer to GMA-TAC/E.O.
County	05-ORCO-GMA-2086	GMA	Alton Pkwy, Irvine Blvd to Commerce Centre Dr, Ph II	E	05/06	24	07/08	\$500,000	Delay	\$500,000	Additional time needed to resolve environmental (EIR) issues.	Refer to GMA-TAC/E.O.
County	99-ORCO-GMA-1041	GMA	El Toro Road at Ave. Carlotia, Ph II	C	05/06	24	07/08	\$100,000	Delay	\$100,000	City of Laguna Hills is lead for R/W phase. R/W still ongoing.	Refer to GMA-TAC/E.O.
Huntington Beach	00-HBCH-GMA-3080	GMA	Garfield Ave Widening (at Delaware)	C	05/06	12	06/07	\$590,000	Delay	\$590,000	R/W complete, construction award expected in 11/2006 GMA TAC/E.O. Approved on 4/26/2006	Approve, approved by GMA-TAC/E.O.

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Agency	Project #	Program	Project Title	Phase	Current FY	Months	Proposed FY	Amount	Action Request	Proposed Amount	Reason	OCTA Staff Recommendations
Irvine	00-IRVN-GMA-3091	GMA	Jamboree/Barranca Intersection Improvements	E	05/06	24	07/08	\$125,000	Delay	\$125,000	Project still in Preliminary design, additional time needed to start final design	Refer to GMA-TAC/E.O.
Laguna Hills	00-LHLL-GMA-3116	GMA	Moulton Parkway Segment 2 (Santa Maria to El Pacifica)	C	05/06	12	06/07	\$308,000	Delay	\$308,000	To match FY for all GMA allocations for this project Total Allocation \$458,000 [308k + 150k]	Refer to GMA-TAC/E.O.
Laguna Niguel	97-LNIG-GMA-1104	GMA	Avery Parkway Widening	C	04/05	24	06/07	\$522,000	Delay	\$522,000	City completing design with local funds, additional time needed to complete final design.	Refer to GMA-TAC/E.O.
Laguna Woods	03-LWDS-GMA-1164	GMA	Aliso Creek Rd/El Toro Rd Intersection	C	05/06	12	06/07	\$132,000	Delay	\$132,000	To match FY with other allocations	Refer to GMA-TAC/E.O.
Placentia	00-PLAC-GMA-3149	GMA	Basilanchury Road @ Kraemer Blvd	C	05/06	24	07/08	\$50,000	Delay	\$50,000	To coordinate project with private development	Refer to GMA-TAC/E.O.
Seal Beach	96-SBCH-GMA-1048	GMA	Seal Beach Blvd. Bridge @ I-405	E	02/03	60	07/08	\$150,000	Delay	\$150,000	GMA TAC/E.O. Approved on 4/26/2006	Approve, approved by GMA TAC/E.O.
Seal Beach	95-SBCH-GMA-1189	GMA	Seal Beach Blvd Bridge at I-405	C	05/06	24	07/08	\$382,250	Delay	\$382,250	Additional time needed to coordinate work with Caltrans. Approved on 4/26/2006	Approve, approved by GMA TAC/E.O.
Westminster	03-WEST-GMA-1227	GMA	CCTV Westminster Blvd. Phase II	C	05/06	12	06/07	\$50,000	Delay	\$50,000	To construct all phases under one contract. Approved on 4/26/2006	Approve, approved by GMA TAC/E.O.
Sub-Total GMA Program Delays										\$3,264,250		
Costa Mesa	03-CMSA-IIP-1047	IIP	17th St/Orange Ave Intersection Improvement	C	05/06	24	07/08	\$650,958	Delay	\$650,958	Second Delay. To coordinate with AHRP rehab project	Approve
Costa Mesa	03-CMSA-IIP-1048	IIP	17th St/Santa Ana Ave Intersection Project	C	05/06	24	07/08	\$570,309	Delay	\$570,309	Second Delay. To coordinate with AHRP rehab project	Approve
Irvine	03-IRVN-IIP-1125	IIP	Bake/Jeronimo Intersection	C	06/07	24	08/09	\$489,148	Delay	\$489,148	Additional time needed to co-ordinate project with City of Lake Forest	Approve
Irvine	03-IRVN-IIP-1125	IIP	Bake/Jeronimo Intersection	E	05/06	24	07/08	\$65,667	Delay	\$65,667	Additional time needed to co-ordinate project with City of Lake Forest	Approve
Irvine	03-IRVN-IIP-1125	IIP	Bake/Jeronimo Intersection	R	05/06	24	07/08	\$364,571	Delay	\$364,571	Additional time needed to co-ordinate project with City of Lake Forest	Approve
Irvine	03-IRVN-IIP-1124	IIP	Culver/Walnut Intersection	E	05/06	12	06/07	\$90,161	Delay	\$90,161	Additional time needed to coordinate project with adjacent property owners	Approve
Irvine	03-IRVN-IIP-1124	IIP	Culver/Walnut Intersection	R	05/06	12	06/07	\$733,702	Delay	\$733,702	Additional co-ordination with adjacent property owners	Approve
La Habra	00-LHAB-IIP-3110	IIP	Beach Blvd @ Lambert Rd Intersection Improvement	C	05/06	24	07/08	\$260,180	Delay	\$260,180	Additional time needed to resolve Caltrans comments	Approve
La Habra	03-LHAB-IIP-1140	IIP	La Habra Blvd and Idaho St Intersection Improvement	C	05/06	24	07/08	\$164,371	Delay	\$164,371	City needs completion of other projects before proceeding with construction of this project.	Approve
Los Alamitos	03-LSAL-IIP-1175	IIP	Intersection Improvements at Ball Rd & Bloomfield	C	05/06	12	06/07	\$288,086	Delay	\$288,086	To align funding FY with other allocations	Approve
Placentia	03-PLAC-IIP-1193	IIP	Alta Vista Street/Rose Drive	C	05/06	24	07/08	\$291,651	Delay	\$291,651	To align these funds with GMA allocation	Approve

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Agency	Project #	Program	Project Title	Phase	Current FY	Months	Proposed FY	Amount	Action Request	Proposed Amount	Reason	OCTA Staff Recommendations
Piacentia	03-PLAC-IIP-1194	IIP	Kraemer Blvd/Bastanchury Rd	C	05/06	24	07/08	\$205,109	Delay	\$205,109	To coordinate project with the private development	Approve
Santa Ana	99-SNTA-IIP-1174	IIP	Bristol St/Warner Ave Widening	C	05/06	24	07/08	\$409,240	Delay	\$409,240	To coordinate with Bristol St widening project from Warner to 17th.	Approve
Sub-Total IIP Program Delays								\$4,543,153		\$4,543,153		
Buena Park	05-BPRK-MPAH-2342	MPAH	Valley View Widening (Orangefhorpe to Lincoln)	C	05/06	12	06/07	\$490,000	Delay	\$490,000	To align funding FY with other allocations	Approve
County	03-ORCO-MPAH-1071	MPAH	Alton Pkwy, Irvine Blvd to Commerce Centre Dr, Ph II	R	05/06	24	07/08	\$654,005	Delay	\$654,005	County still working on Environmental document/issues	Approve
Cypress	03-CYPR-MPAH-1079	MPAH	Replacement of Del Amo Bridge	C	05/06	24	07/08	\$105,012	Delay	\$105,012	Lead agency City of Lakewood (LA County) waiting for approval of HBP funding for this project from Caltrans	Approve
Cypress	03-CYPR-MPAH-1079	MPAH	Replacement of Del Amo Bridge	E	05/06	12	06/07	\$20,650	Delay	\$20,650	Lead agency City of Lakewood (LA County) waiting for approval of HBP funding for this project from Caltrans	Approve
La Habra	05-LHAB-MPAH-2568	MPAH	Lambert Rd. Widen (West of Harbor)	C	07/08	12	08/09	\$351,103	Delay	\$351,103	Additional time needed to complete Environmental document (EIR). Also, see transfer of funds from E to C.	Approve
La Habra	05-LHAB-MPAH-2568	MPAH	Lambert Rd. Widen (West of Harbor)	R	06/07	24	08/09	\$4,913,663	Delay	\$4,913,663	Additional time needed to complete Environmental document (EIR)	Approve
La Palma	03-LPMA-MPAH-1149	MPAH	La Palma Ave/Del Amo Blvd	C	05/06	24	07/08	\$667,959	Delay	\$667,959	Lead agency City of Lakewood (LA County) waiting for approval of HBP funding for this project from Caltrans	Approve
La Palma	03-LPMA-MPAH-1149	MPAH	La Palma Ave/Del Amo Blvd	E	05/06	12	06/07	\$131,350	Delay	\$131,350	Lead agency City of Lakewood (LA County) waiting for approval of HBP funding for this project from Caltrans	Approve
Laguna Woods	03-LWDS-MPAH-1166	MPAH	Aliso Creek Rd/EI Toro Rd Intersection	C	05/06	12	06/07	\$389,713	Delay	\$389,713	Design in progress, Additional time needed to complete final design.	Approve
Los Alamitos	03-LSAL-MPAH-1176	MPAH	Los Alamitos Bid Improvements Phase 2	C	05/06	12	06/07	\$287,432	Delay	\$287,432	Additional time needed to complete Final design.	Approve
San Clemente	03-SCLM-MPAH-1200	MPAH	Avenida Pico from I-5 to Calle Del Cerro	C	04/05	24	06/07	\$641,494	Delay	\$641,494	Project was delayed to prepare I-5/Pico interchange alternate report.	Approve
Seal Beach	97-SBCH-MPAH-1154	MPAH	Seal Beach Blvd Overcrossing Widening at I-405	C	05/06	24	07/08	\$1,680,000	Delay	\$1,680,000	Delay requested to coordinate project with Caltrans	Approve
Stanton	03-STAN-MPAH-1221	MPAH	Garden Grove Blvd. Street Improvement Project	C	05/06	24	07/08	\$310,200	Delay	\$310,200	Second Delay. Additional time needed to coordinate project with Caltrans & Garden Grove. City will submit council approved CIP showing project's revised program year.	Approve
Sub-Total MPAH Program Delays								\$10,642,580		\$10,642,580		

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Agency	Project #	Program	Project Title	Phase	Current FY	Months	Proposed FY	Amount	Action Request	Proposed Amount	Reason	OCTA Staff Recommendations
Anaheim	05-ANAH-SIP-2731	SIP	Santa Ana Canyon ITS	C	05/06	12	06/07	\$220,000	Delay	\$220,000	Additional time needed for City's utilities department to install sub-structure elements of the SIP project	Approve
Buena Park	05-BPRK-SIP-2338	SIP	Lincoln Ave (Valley View to Knott) Interconnect	C	05/06	24	07/08	\$65,000	Delay	\$65,000	Additional time needed to complete PS&E	Approve
Huntington Beach	05-HBCH-SIP-1112	SIP	Pacific Coast Highway CCTV Cameras	C	05/06	12	06/07	\$188,171	Delay	\$188,171	Additional time needed to complete PS&E and coordinate work with Caltrans	Approve
Laguna Niguel	05-LNIG-SIP-2586	SIP	Moulton Parkway Signal Coordination Project	C	05/06	12	06/07	\$20,000	Delay	\$20,000	City requests delay and also transfer of lead agency status to city of Laguna Woods since majority of the project is in that City. Laguna Woods concurs.	Approve
Newport Beach	05-NBCH-SIP-2728	SIP	Traffic Signal System Upgrade, Project 2	C	05/06	24	07/08	\$250,000	Delay	\$250,000	Additional time needed to select new hardware.	Approve
Santa Ana	05-SNTA-SIP-2614	SIP	Adaptive Downtown Traffic Signal System, Phase IV	C	05/06	24	07/08	\$170,000	Delay	\$170,000	Additional time needed for completion of previous Phases I thru III	Approve
Santa Ana	00-SNTA-SIP-3174	SIP	Edinger Traffic Management Project	C	05/06	24	07/08	\$202,000	Delay	\$202,000	Discovery of additional utilities in the project area caused delay in completion of design.	Approve
Santa Ana	00-SNTA-SIP-3176	SIP	Harbor Blvd. Traffic Management Project	C	05/06	24	07/08	\$193,444	Delay	\$193,444	Discovery of additional utilities in the project area caused delay in completion of design.	Approve
Santa Ana	00-SNTA-SIP-3181	SIP	Segerstrom/Dyer Traffic Management Project	C	05/06	24	07/08	\$188,842	Delay	\$188,842	Discovery of additional utilities in the project area caused delay in completion of design.	Approve
Westminster	03-WEST-SIP-1232	SIP	CCTV Westminster Blvd. Phase I	C	05/06	12	06/07	\$194,569	Delay	\$194,569	To coordinate and construct all phases under one contract	Approve
Sub-Total SIP Program Delays										\$1,692,027		
Anaheim	97-ANAH-SSP-2007	SSP	Katella Avenue Smart Street (Ninth to Humor)	C	05/06	12	06/07	\$2,454,000	Delay	\$2,454,000	Additional time needed for right-of-way acquisition/building modifications and utility relocation	Approve
County	97-ORCO-SSP-2009	SSP	Katella Smart St. 100' e/o Jean to Magnolia	C	05/06	24	07/08	\$2,992,000	Delay	\$2,992,000	Multijurisdictional project being built in stages, construction contract for this segment expected to be awarded in May 2007	Approve
Irvine	97-IRVN-SSP-2011	SSP	Moulton (Harvard to Lake Forest)	C	05/06	12	06/07	\$160,000	Delay	\$160,000	Majority of the project in Irvine built with Developer fees, additional time needed for design and construction of remaining segment, savings back to program.	Approve
Irvine	97-IRVN-SSP-2011	SSP	Moulton (Harvard to Lake Forest)	E	05/06	12	06/07	\$40,000	Delay	\$40,000	Majority of the project in Irvine built with Developer fees, additional time needed for design and construction of remaining segment, savings back to program.	Approve
La Habra	97-LHAB-SSP-2012	SSP	Imperial (LAC to Harbor)	C	04/05	24	06/07	\$1,499,000	Delay	\$1,499,000	Additional time needed for right-of-way acquisition and approval of design from caltrans.	Approve
La Habra	97-LHAB-SSP-2012	SSP	Imperial (LAC to Harbor)	C	05/06	12	06/07	\$5,409,000	Delay	\$5,409,000	Additional time needed for right-of-way acquisition and approval of design from caltrans.	Approve
Laguna Niguel	97-LNIG-SSP-2014	SSP	Moulton (Aloma to Sardina)	C	04/05	24	06/07	\$1,096,000	Delay	\$1,096,000	Right-of-way phase almost complete, additional time needed to complete final design.	Approve
Sub-Total SSP Program Delays										\$13,650,000		

Combined Transportation Funding Program March 2006 Semi-Annual Review Adjustment Requests

Agency	Project #	Program	Project Title	Phase	Current FY	Months	Proposed FY	Amount	Action Request	Proposed Amount	Reason	OCTA Staff Recommendations
Huntington Beach	03-HBCH-TDM-1114	TDM	Pacific Coast Highway Transit Center	C	05/06	12	06/07	\$150,000	Delay	\$150,000	Additional time needed to complete final design. Both E and C phases were originally allocated in FY 05/06.	Approve
Laguna Beach	05-LBCH-TDM-2206	TDM	Broadway (SR-133) Bus Depot Parking Bay	C	05/06	24	07/08	\$144,000	Delay	\$144,000	City needs more time to secure additional funds, construction bids higher than current project budget	Approve
Los Alamitos	03-LSAL-TDM-2445	TDM	Cerritos Ave Bicycle Lanes	C	05/06	12	06/07	\$32,437	Delay	\$32,437	Additional time needed to complete Final design.	Approve
Los Alamitos	03-LSAL-TDM-2445	TDM	Cerritos Ave Bicycle Lanes	E	05/06	12	06/07	\$8,109	Delay	\$8,109	Additional time needed to complete Final design.	Approve
Sub-Total TDM Program Delays										\$334,646		
Delays - Total All Measure M Programs										\$34,126,556		
Additional Funds/New Projects												
Huntington Beach	00-HBCH-GMA-3080	GMA	Garfield Avenue Widening (At Delaware)	C	N/A	N/A	06/07	\$590,000	Additional Funds	\$750,000	Additional funds of \$160K for construction phase approved by GMA #6 on April, 26, 2006, move entire allocation to FY 06/07	Approve, approved by GMA-TAC/E.O.
Fountain Valley	06-FVLY-GMA-2820	GMA	Euclid Street Capacity Improvement Project	C	N/A	N/A	06/07	\$0	New Project	\$50,000	Approved by GMA 6 TAC/E.O. 4/26/2006	Approve
Seal Beach	06-SBCH-GMA-2821	GMA	Free Flow lane design study on Seal Beach Blvd	E	N/A	N/A	06/07	\$0	New Project	\$30,000	Approved by GMA 6 TAC/E.O. 4/26/2006	Approve
Sub-Total GMA Program Additional Funds/New Projects										\$240,000		
Additional Funds/New Programs - Total All Measure M Programs										\$240,000		
Scope Change												
Sanita Ana	00-SNTA-SIP-3184	SIP	Warner Avenue Traffic Management Project	C	02/03	N/A	N/A	\$140,375	Scope Change	\$140,375	Substitute detector loops for CCTV cameras from approved scope to complete project with allocated funds.	Approve
Sanita Ana	00-SNTA-SIP-3184	SIP	Warner Avenue Traffic Management Project	E	02/03	N/A	N/A	\$40,000	Scope Change	\$40,000	Substitute detector loops for CCTV cameras from approved scope to complete project with allocated funds.	Approve
Sub-Total Scope Change Program SIP										\$180,375		
Anaheim	03-ANAH-TDM-1026	TDM	Bicycle Lane Improvement Phase II	C	05/06	N/A	N/A	\$46,431	Scope Change	\$46,431	Delete the Walnut St and Orangewood Ave segments, add Wilshire Ave & Crescent Ave segments.	Approve
Sub-Total Scope Change Program TDM										\$46,431		
Scope Change - Total All Measure M Programs										\$226,806		
Transfer												
Buena Park	97-BPRK-GMA-1033	GMA	Artesia Blvd Improvements at I-5 Fwy	E	04/05	N/A	N/A	\$320,000	Transfer	\$80,000	Transfer \$240,000 to C Phase FY 06/07, Remaining \$30,000 to E Phase FY 04/05, Remaining \$50,000 to E Phase FY 06/07.	Refer to GMA-TAC/E.O.
Buena Park	97-BPRK-GMA-1033	GMA	Artesia Blvd Improvements at I-5 Fwy	C	06/07	N/A	N/A	\$0	Transfer	\$240,000	Transfer from E to C	Refer to GMA-TAC/E.O.
Costa Mesa	05-CMSA-GMA-2389	GMA	Newport Blvd alternatives Analysis	E	07/08	N/A	N/A	\$50,000	Transfer	\$0	Transfer \$50,000 to 99-CMSA-GMA-1028 Phase "C"	Refer to GMA-TAC/E.O.
Costa Mesa	99-CMSA-GMA-1028	GMA	Newport Blvd Improvement Project	C	07/08	N/A	N/A	\$670,290	Transfer	\$920,290	Transfer of \$50,000- from 05-CMSA-GMA-2389 Phase "E"	Refer to GMA-TAC/E.O.

Combined Transportation Funding Program March 2006 Semi-Annual Review Adjustment Requests

Agency	Project #	Program	Project Title	Phase	Current FY	Months	Proposed FY	Amount	Action Request	Proposed Amount	Reason	OCTA Staff Recommendations
County	00-ORCO-GMA-3036	GMA	Lincoln Ave Bridge at SAR	C	05/06	N/A	N/A	\$204,000	Transfer	\$0	Transfer \$204,000 from C to E	Approve, approved by GMA-TAC/E O.
County	00-ORCO-GMA-3036	GMA	Lincoln Ave Bridge at SAR	E	05/06	N/A	N/A	\$0	Transfer	\$204,000	Transfer from C to E	Approve, approved by GMA-TAC/E O.
La Habra	00-LHAB-GMA-3109	GMA	Lambert Rd (Beach to East City Limit)	R	05/06	N/A	N/A	\$85,000	Transfer	\$0	Transfer \$85,000 from R to C	Refer to GMA-TAC/E O.
La Habra	00-LHAB-GMA-3109	GMA	Lambert Rd (Beach to East City Limit)	C	05/06	N/A	N/A	\$0	Transfer	\$85,000	City did not acquire Right-of-way for this project	Refer to GMA-TAC/E O.
Seal Beach	05-SBCH-GMA-2800	GMA	Lampson Ave Controller Upgrade	E	05/06	N/A	N/A	\$18,000	Transfer	\$0	Transfer \$18,000 from E to C	Refer to GMA-TAC/E O.
Seal Beach	05-SBCH-GMA-2800	GMA	Lampson Ave Controller Upgrade	C	05/06	N/A	N/A	\$15,500	Transfer	\$33,500	Transfer from E to C	Refer to GMA-TAC/E O.
Sub-Total GMA Program Transfer										\$1,542,790		
Anaheim	00-ANAH-IIP-3004	IIP	Lincoln Ave./State College Blvd Intersection	R	02/03	N/A	N/A	\$1,601,000	Transfer	\$1,219,873	Transfer from R to C 50% of the savings (\$381,127)	Approve
Anaheim	00-ANAH-IIP-3004	IIP	Lincoln Ave./State College Blvd Intersection	C	03/04	N/A	N/A	\$820,100	Transfer	\$1,201,227	Transfer from C to R	Approve
Dana Point	00-DPNT-IIP-3059	IIP	Pacific Coast Highway at Del Obispo	R	06/07	N/A	N/A	\$340,000	Transfer	\$0	Transfer \$340,000 from R to C	Approve
Dana Point	00-DPNT-IIP-3059	IIP	Pacific Coast Highway at Del Obispo	C	06/07	N/A	N/A	\$1,076,581	Transfer	\$1,416,581	Transfer from R to C	Approve
La Habra	00-LHAB-IIP-3110	IIP	Beach Blvd @ Lambert Rd Intersection Improvement	R	03/04	N/A	N/A	\$15,480	Transfer	\$0	Transfer \$154,60 from R to E.	Approve
La Habra	00-LHAB-IIP-3110	IIP	Beach Blvd @ Lambert Rd Intersection Improvement	E	03/04	N/A	N/A	\$23,900	Transfer	\$39,360	Transfer from R to E	Approve
Sub-Total IIP Program Transfer										\$3,877,041		
Fullerton	TBD	MPAH	Imperial Hwy. Smart Street Segment B	R	05/06	N/A	N/A	\$0	Transfer	\$391,112	Transfer from 05-LHAB-MPAH-2608	Approve
La Habra	05-LHAB-MPAH-2568	MPAH	Lambert Rd. Widen West of Harbor	E	05/06	N/A	N/A	\$24,000	Transfer	\$0	Transfer \$24,000 from E to C. City will complete final design with local funds.	Approve
La Habra	05-LHAB-MPAH-2568	MPAH	Lambert Rd. Widen West of Harbor	C	05/06	N/A	N/A	\$327,103	Transfer	\$351,103	Transfer \$24,000 from E to C. City will complete final design with local funds.	Approve
La Habra	05-LHAB-MPAH-2608	MPAH	Imperial Hwy. Smart Street from LA County to Rose Segment A	R	05/06	N/A	N/A	\$391,112	Transfer	\$0	Transfer right-of-way funds that were requested/allocated as part of 2004 call for segments "B" & "C" (City of Fullerton Lead Agency for right-of-way)	Approve
Sub-Total MPAH Program Transfer										\$742,215		

Combined Transportation Funding Program

March 2006 Semi-Annual Review Adjustment Requests

Agency	Project #	Program	Project Title	Phase	Current FY	Months	Proposed FY	Amount	Action Request	Proposed Amount	Reason	OCTA Staff Recommendations
Costa Mesa	03-CMSA-SIP-1052	SIP	CCTV Phase III Expansion Project	E	05/06	N/A	N/A	\$17,500	Transfer	\$0	Transfer \$17,500 from E to C	Approve
Costa Mesa	03-CMSA-SIP-1052	SIP	CCTV Phase III Expansion Project	C	05/06	N/A	N/A	\$181,148	Transfer	\$198,648	Transfer from E to C	Approve
Costa Mesa	03-CMSA-SIP-1053	SIP	Signal/CCTV Cable Communications Upgrades	E	05/06	N/A	N/A	\$17,380	Transfer	\$0	Transfer \$17,380 from E to C	Approve
Costa Mesa	03-CMSA-SIP-1053	SIP	Signal/CCTV Cable Communications Upgrades	C	05/06	N/A	N/A	\$194,984	Transfer	\$212,364	Transfer from E to C	Approve
Costa Mesa	03-CMSA-SIP-1054	SIP	Costa Mesa/Huntington Beach Signal Coordination	E	03/04	N/A	N/A	\$6,320	Transfer	\$0	Transfer \$6,320 from E to C	Approve
Costa Mesa	03-CMSA-SIP-1054	SIP	Costa Mesa/Huntington Beach Signal Coordination	C	03/04	N/A	N/A	\$191,858	Transfer	\$198,178	Transfer from E to C	Approve
Irvine	99-IRVN-SIP-1108	SIP	Irvine Center Drive (Sand Canyon to Scientific)	C	01/02	N/A	N/A	\$25,900	Transfer	\$20,477	Transfer \$5,423 from C to E	Approve
Irvine	99-IRVN-SIP-1108	SIP	Irvine Center Drive (Sand Canyon to Scientific)	E	01/02	N/A	N/A	\$177,919	Transfer	\$183,342	Transfer from E to C	Approve
Laguna Niguel	05-LNIG-SIP-2586	SIP	Moulton Parkway Signal Coordination Project	C	05/06	N/A	N/A	\$20,000	Transfer	\$20,000	Change Lead Agency to City of Laguna Woods	Approve
Sub-Total SIP Program Transfer										\$833,009		
Brea	97-BREA-SSP-2008	SSP	Imperial Highway (SR57-Rose)-Segment C	C	07/08	N/A	N/A	\$2,541,439	Transfer	\$2,041,439	Transfer \$500,000 to Imperial Highway/Associated Road- Segment D, C Phase	Approve
Brea	97-BREA-SSP-2008	SSP	Imperial Highway (SR57-Rose)-Segment C	R	01/02	N/A	N/A	\$395,000	Transfer	\$195,000	Transfer \$200,000 to Imperial Highway/Associated Road- Segment D, C Phase	Approve
Brea	TBD	SSP	Imperial Highway/Associated Road-Segment D	C		N/A	N/A	\$0	Transfer	\$700,000	Segment C was split into 2 segments "C and "D". Transferring \$700,000- of allocated funds from 97-BREA-SSP-2008 (segment C) to this segment.	Approve
Fullerton	97-FULL-SSP-2010	SSP	Imperial Highway (Harbor to SR-57)	C	06/07	N/A	N/A	\$2,425,000	Transfer	\$2,425,000	Change Lead Agency to City of Brea for C Phase	
Irvine	97-IRVN-SSP-2011	SSP	Moulton (Harvard to Lake Forest)	R	05/06	N/A	N/A	\$300,000	Transfer	\$0	Transfer savings of \$300,000- back to the SSP program	Approve
Irvine	97-IRVN-SSP-2011	SSP	Moulton (Harvard to Lake Forest)	E	05/06	N/A	N/A	\$78,000	Transfer	\$40,000	Transfer savings of \$38,000- back to the SSP program	Approve
Irvine	97-IRVN-SSP-2011	SSP	Moulton (Harvard to Lake Forest)	C	05/06	N/A	N/A	\$600,000	Transfer	\$160,000	Transfer savings of \$440,000- back to the SSP program	Approve
Sub-Total SSP Program Transfer										\$6,339,439		
										\$5,561,439		

Combined Transportation Funding Program

March 2006 Semi-Annual Review Adjustment Requests

Agency	Project #	Program	Project Title	Phase	Current FY	Months	Proposed FY	Amount	Action Request	Proposed Amount	Reason	OCTA Staff Recommendations
Irvine	03-IRVN-TDM-1136	TDM	ITC Remote Parking Shuttle	C	05/06	N/A	N/A	\$193,600	Transfer	\$140,600	Transfer \$53,000 from C to E Scope Changes	Approve
Irvine	03-IRVN-TDM-1136	TDM	ITC Remote Parking Shuttle	E	05/06	N/A	N/A	\$0	Transfer	\$53,000	Transfer from C to E	Approve
Sub-Total TDM Program Transfer										\$193,600		
Transfer - Total All Measure M Programs										\$30,418,434		
Advances												
Dana Point	05-DPNT-AHRP-2392	AHRP	Doherty Park Road Rehabilitation	C	06/07	12	05/06	\$400,000	Advance	\$400,000	City requests to advance	Approve
Fullerton	05-FULL-AHRP-2239	AHRP	Lemon Street Between Chapman Ave and Berkeley Ave	C	06/07	12	05/06	\$287,796	Advance	\$287,796	City requests to advance	Approve
Garden Grove	05-GGRV-AHRP-2210	AHRP	Knott Ave (Lampson to Chapman)	C	06/07	12	05/06	\$400,000	Advance	\$400,000	City requests to advance	Approve
Rancho Santa Margarita	05-RSMA-AHRP-2464	AHRP	Antonio Parkway Resurfacing	C	06/07	12	05/06	\$1,548,166	Advance	\$1,548,166	City requests to advance	Approve
Santa Ana	05-SNTA-AHRP-2282	AHRP	Main Street - 18th to Buffalo	C	06/07	12	05/06	\$148,762	Advance	\$148,762	City requests to Advance Phase "C" to co-ordinate with another project	Approve
Seal Beach	05-SBCH-AHRP-2702	AHRP	Seal Beach Blvd Rehab Segment 4	C	06/07	12	05/06	\$301,203	Advance	\$301,203	City requests to advance	Approve
Tustin	05-TUST-AHRP-2506	AHRP	Irvine - Ranchwood to Jamboree	C	06/07	12	05/06	\$1,464,650	Advance	\$1,464,650	City requests to advance	Approve
Advances - Total All AHRP Programs										\$4,550,577		
Delays												
Garden Grove	05-GGRV-AHRP-2193	AHRP	Magnolia St (Westminster to Trask)	C	05/06	12	06/07	\$400,000	Delay	\$400,000	Swap with Knott St. 05-GGRV-AHRP-2210	Approve
San Clemente	05-SCLM-AHRP-2423	AHRP	El Camino Real from Ave. Del Mar to Pico phase I	C	05/06	12	06/07	\$400,000	Delay	\$400,000	To coordinate with underground utility project that is expected to be completed by December 2006	Approve
San Clemente	05-SCLM-AHRP-2427	AHRP	Avenida Pico from I-5 to Calle Frontera	C	05/06	12	06/07	\$400,000	Delay	\$400,000	To coordinate with widening project in the same area.	Approve
Santa Ana	05-SNTA-AHRP-2284	AHRP	Santa Clara Ave - Grand to Tustin	C	05/06	12	06/07	\$506,481	Delay	\$506,481	Design funding (E76) was delayed due to approval of amendment for obligation authority for design phase	Approve
Delay - Total All AHRP Programs										\$1,706,481		
Transfer												
Irvine	00-IRVN-RST-2010	RST	Jeffery Grade/SCRRRA Grade Crossing	R	02/03	N/A	N/A	\$686,000	Transfer	\$386,131	Transfer \$299,869.12 from R to C	Approve
Irvine	00-IRVN-RST-2010	RST	Jeffery Grade/SCRRRA Grade Crossing	C	02/03	N/A	N/A	\$16,204,340	Transfer	\$16,504,209	Transfer from R to C	Approve
Sub-Total RST Program Transfer										\$16,890,340		

Combined Transportation Funding Program March 2006 Semi-Annual Review Adjustment Requests (Additional GMA Adjustments)

Agency	Project #	Program	Project Title	Phase	Current FY	Months	Proposed FY	Amount	Action Request	Proposed Amount	Reason	OCTA Staff Recommendations
County	03-ORCO-GMA-1067	GMA	Orange Avenue	C	06/07	N/A	05/06	\$50,000	Advance	\$50,000	—	Refer to GMA-TAC/E.O.
Fountain Valley	05-FVLY-GMA-2543	GMA	ITS Phase 1	C	08/09	12	07/08	\$75,000	Advance & Additional Funds	\$125,000	—	Approve, approved by GMA-TAC/E.O.
Garden Grove	06-GGRV-GMA-2823	GMA	Harbor Blvd. (Warner to SR-91)	R	08/09	36	05/06	\$154,837	Advance	\$154,837	—	Refer to GMA-TAC/E.O.
Garden Grove	06-GGRV-GMA-2822	GMA	Harbor/Trask Intersection Improvement	R	08/09	36	05/06	\$550,000	Advance	\$550,000	—	Refer to GMA-TAC/E.O.
Irvine	05-IRVN-GMA-2475	GMA	Jamboree Ramps/ I-5 Ramps	E	07/08	12	08/09	\$20,000	Delay	\$20,000	—	Refer to GMA-TAC/E.O.
La Habra	00-LHAB-GMA-3109	GMA	Lambert Road (Beach to East City Limit)	C	05/06	24	07/08	\$65,000	Delay	\$65,000	—	Refer to GMA-TAC/E.O.
Santa Ana	05-SNTA-GMA-2797	GMA	First Street Bridge Widening	C	08/09	24	06/07	\$200,000	Advance	\$200,000	—	Refer to GMA-TAC/E.O.
Santa Ana	05-SNTA-GMA-2797	GMA	First Street Bridge Widening	C	09/10	36	06/07	\$200,000	Advance	\$200,000	—	Refer to GMA-TAC/E.O.

**Combined Transportation Funding Program
Time Extension Policy
(Adopted as of November 2004)**

- Agencies may request a one-time delay of up to 24 months. Jurisdictions will be required to justify this request and seek approval of OCTA staff, Technical Steering Committee (TSC), and the Technical Advisory Committee (TAC) as part of the semi-annual review process.
- A second delay request may only be awarded by obtaining the council approved revised Capital Improvement Program (CIP) that indicates the project's revised program year. The second delay request will still require the OCTA staff review and the TSC and TAC approval.
- Any further delay beyond the second delay request would require a direct request for approval from the OCTA Board of Directors. The OCTA Board of Directors will have the final approval of the Agency's request.

**Amendment to the CTFP Final Report Provisions for
Work by Local Agency Forces**

Allow agencies to utilize any of the following options for reporting reimbursable work performed by local agency forces.

- Option 1 – Utilize base pay rate, fringe benefits and overhead rate of up to 30% (of payroll and fringe benefits) for billing rate for work performed by local agency forces as provided in the current CTFP guidelines.
- Option 2 – Utilize fully burdened billing rate for work performed by local agency forces. To utilize this option an agency must adopt its own written and fiscal administrative requirements for expending and accounting for all funds, which are consistent with the provisions of OMB Circulars A-87, A-76 or the California Uniform Cost Accounting Commission (CUCCAC) policies and procedures.

Website links:

OMB Circular A87: http://www.whitehouse.gov/omb/circulars/a087/a87_2004.pdf

OMB-Circular-A76: http://www.whitehouse.gov/omb/circulars/a076/a76_incl_tech_correction.pdf

CUCCAC: http://www.sco.ca.gov/ard/local/cuccac/cuccac_man.pdf



BOARD COMMITTEE TRANSMITTAL

August 14, 2006

To: Members of the Board of Directors
From: ^{WK} Wendy Knowles, Clerk of the Board
Subject: Gateway Monument Sign for Santa Ana Freeway (Interstate 5)
Gateway Project

Regional Planning and Highways Committee

August 7, 2006

Present: Directors Cavecche, Correa, Dixon, Green, Monahan, Pringle, Rosen,
and Ritschel
Absent: Director Norby

Committee Vote

This item was passed by all Committee Members present.

Director Monahan was not present to vote on this matters.

Committee Recommendation

Authorize the Chief Executive Officer to execute Amendment No. 2 to Cooperative Agreement C-5-2358 between the Orange County Transportation Authority and City of Buena Park, in an amount not to exceed \$10,000, for the conceptual design of the Orange County gateway sign on the Santa Ana Freeway (Interstate 5) in Buena Park.



August 7, 2006

To: Regional Planning and Highways Committee
From: Arthur T. Leahy, Chief Executive Officer
Subject: Gateway Monument Sign for Santa Ana Freeway (Interstate 5)
Gateway Project

Overview

The Orange County Transportation Authority is considering the installation of a gateway monument sign along the Santa Ana Freeway (Interstate 5) at the Orange-Los Angeles county line. A collaboration with the California Department of Transportation and the City of Buena Park to develop a conceptual design for submission is proposed to be funded through an amendment to an existing cooperative agreement.

Recommendation

Authorize the Chief Executive Officer to execute Amendment No. 2 to Cooperative Agreement C-5-2358 between the Orange County Transportation Authority and City of Buena Park, in an amount not to exceed \$10,000, for the conceptual design of the Orange County gateway sign on the Santa Ana Freeway (Interstate 5) in Buena Park.

Background

The Orange County Transportation Authority (Authority) Board of Directors (Board) has previously considered gateway signage projects at freeway entrances to Orange County. At the February 20, 2006, Regional Planning and Highways Committee meeting, Interstate 5 (I-5) project aesthetics and gateway signage was discussed. At that time, staff was directed to review opportunities for gateway monuments within the state-controlled right-of-way.

The Authority is collaborating with the California Department of Transportation (Caltrans) and the City of Buena Park to develop a conceptual design for a gateway monument sign as part of the I-5 Gateway (freeway widening) Project.

On July 25, 2005, the Board approved Cooperative Agreement C-5-2358 with the City of Buena Park, in the amount of \$1,976,000, for reimbursement of a portion of the cost for coordination and mitigation measures during construction of the I-5 Gateway Project. On April 24, 2006, the Board directed staff to prepare a preliminary gateway monument proposal for the I-5 at the Orange-Los Angeles county line and return for further direction in July 2006.

The Caltrans Gateway Monument Demonstration Program runs for a four-year period from January 1, 2005 through December 31, 2008. However, proposals can only be submitted from January 1, 2005 to December 31, 2006. At the conclusion of the four-year period, Caltrans will evaluate the program to determine its success and decide whether it should continue. Any signs placed as part of the program need to be constructed by December 31, 2008.

Discussion

The Authority and the City of Buena Park are collaborating to develop a conceptual design for a gateway monument sign as part of the I-5 Gateway Project. The City of Buena Park has Clark & Green Associates under contract for monument sign design work on the Riverside Freeway (State Route 91). Due to Clark & Green's success in working with Caltrans and the short time available to meet Caltrans' December 2006 proposal deadline, City of Buena Park staff concur with the Authority staff that utilizing this firm for the conceptual design of the I-5 Gateway Project monument sign would be in the best interest of the project. Caltrans District 12 has only been successful with one firm to date for approving monument signs, and that is Clark & Green Associates. The City of Buena Park will proceed with an agreement with Clark & Green Associates for the conceptual design of the Orange County gateway monument sign pending Board approval of the cooperative agreement amendment.

Fiscal Impact

The additional work described in Amendment No. 2 to Cooperative Agreement C-5-2358 was not included in the Authority's Fiscal Year 2006-07 Budget. Funds have been transferred within Account 0010-7519-F1610, Local Transportation Authority, Other Professional Services.

Summary

Staff is recommending the Board authorize the Chief Executive Officer to execute Amendment No. 2 to Cooperative Agreement C-5-2358 between the

Authority and the City of Buena Park, in an amount not to exceed \$10,000, for the conceptual design of the Orange County gateway sign on I-5 in the City of Buena Park.

Attachment

- A. City of Buena Park, Cooperative Agreement C-5-2358 Fact Sheet

Prepared by:

Charles Guess

Charles Guess, P.E.
Program Manager, Development
(714) 560-5775

Approved by:

Paul C. Taylor

Paul C. Taylor, P.E.
Executive Director, Development
(714) 560-5431

ATTACHMENT A

**City of Buena Park
Cooperative Agreement C-5-2358 Fact Sheet**

1. July 25, 2005, Cooperative Agreement C-5-2358, \$1,976,000, approved by Board of Directors.
 - Cost sharing for project related costs related to the I-5 Gateway Project.
2. January 9, 2006, Amendment No. 1 to Cooperative Agreement C-5-2358, \$265,650, approved by Board of Directors.
 - Reimburse City of Buena Park for additional project related costs.
3. August 14, 2006, Amendment No.2 to Cooperative Agreement C-5-2358, \$10,000, pending approval by Board of Directors.
 - Reimburse City of Buena Park for conceptual design services for the Orange County Gateway project.

Total committed to City of Buena Park, Cooperative Agreement C-5-2358: \$2,251,650.



BOARD COMMITTEE TRANSMITTAL

August 14, 2006

To: Members of the Board of Directors
From: Wendy Knowles, Clerk of the Board *WK*
Subject: 2006 Long-Range Transportation Plan Short-Term Actions

Regional Planning and Highways Committee

August 7, 2006

Present: Directors Cavecche, Correa, Dixon, Green, Monahan, Pringle, Rosen, and Ritschel
Absent: Director Norby

Committee Vote

This item was passed by all Committee Members present.

Committee Recommendation

Approve the 2006 Long-Range Transportation Plan short-term actions, and direct staff to provide annual updates on these activities.



August 7, 2006

To: Regional Planning and Highways Committee
From: Arthur T. Leahy, Chief Executive Officer
Subject: 2006 Long-Range Transportation Plan Short-Term Actions

Overview

The 2006 Long-Range Transportation Plan establishes a future vision for the Orange County transportation system. Short-term actions to refine this vision are presented for review and approval.

Recommendation

Approve the 2006 Long-Range Transportation Plan short-term actions, and direct staff to provide annual updates on these activities.

Background

The 2006 Long-Range Transportation (LRTP) establishes a 30-year vision for the Orange County transportation system that serves residents, workers, and visitors. The 2006 LRTP and Program Environmental Impact Report (PEIR) were approved by the Board of Directors (Board) on July 24, 2006. As part of the LRTP process, short-term actions are recommended as the stepping stones to make the vision a reality and to make project refinements as new information becomes available. Short-term actions are recommended for activities from fiscal years (FY) 2007 to 2010.

Discussion

Major strategies guide the recommended actions, and these strategies occur in the context of the Orange County Transportation Authority's (OCTA) implementation of major initiatives such as highway and arterial improvement projects, as well as expansion of Metrolink, Bus Rapid Transit, and local transit initiatives. The overall strategies include:

1. Refining specific strategies to improve travel between Riverside and Orange counties

2. Developing strategies to improve mobility in south, west, and central Orange County through major investment studies and related efforts
3. Continuing efforts to get freeway projects shelf-ready for construction
4. Working with cities to develop plans to address both arterial bottlenecks and consistent pavement management systems
5. Improving freeway and arterial efficiencies through signal synchronization and a countywide freeway operations plan
6. Supporting efforts to expand transportation choices through new Metrolink, Bus Rapid Transit, and local transit initiatives
7. Participating in local and regional efforts to plan for high-speed ground transportation
8. Strengthening coordination efforts with other agencies and counties in the areas of intercounty travel, goods movement, transit expansion, and the 2007 Regional Transportation Plan

The actions presented in Attachment A advance and refine these strategies and prepare OCTA for the next LRTP update. The actions recommend specific corridor studies and coordination activities for the next four years, additional freeway and tolls roads studies, development of better systems to manage arterial operations and improved pavement maintenance countywide, and improved definition of transit strategies. Staff will provide annual updates on these activities. Actions planned for FY 2006-07 are consistent with the OCTA budget for FY 2006-07. Moreover, future activities are subject to Board authorization through future annual budget process.

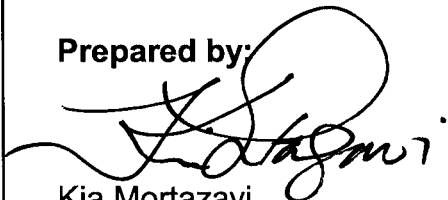
Summary

Short-term actions supporting the 2006 LRTP are presented for review and approval subject to budget authorization.

Attachment

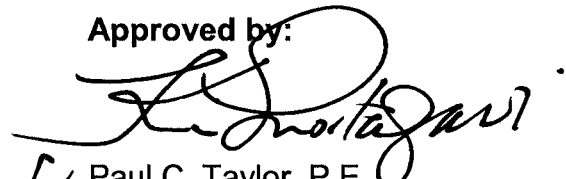
- A. 2006 LRTP Short-Term Actions – Fiscal Years 06-07 to 09-10

Prepared by:



Kia Mortazavi
Director, Strategic Planning
(714) 560-5741

Approved by:



for Paul C. Taylor, P.E.
Executive Director, Development
(714) 560-5431

2006 LRTP Short-Term Actions

Fiscal Years 06-07 to 09-10

CORRIDOR STUDIES / INTERCOUNTY COORDINATION		FISCAL YEAR
South Orange County Major Investment Study	Complete study by December 2007.	06-07 to 07-08
	Initiate more detailed engineering analysis on specific projects.	08-09 to 09-10
Central Orange County Corridor Study	Initiate study by Spring 2007 and complete by Spring 2009.	06-07 to 08-09
OC/LA Border Study	Initiate study by March 2007 and complete by Summer 2008.	06-07 to 08-09
RCTC Coordination	Continue coordination activities with RCTC.	06-07 to 09-10
SANDAG Coordination	Continue coordination activities with SANDAG.	06-07 to 09-10
2008 RTP Coordination	Continue coordination efforts with SCAG.	06-07 to 09-10

FREEWAYS AND TOLL ROADS		FISCAL YEAR
Freeway Chokepoint Program	Continue project development efforts on specific freeway chokepoints.	06-07 to 09-10
SR-91 Viaduct Study	Initiate study by Fall 2006 and complete by Summer 2008.	06-07 to 07-08
Irvine-Corona Corridor Feasibility Studies	Initiate studies by Fall 2006 and complete by Summer 2008.	06-07 to 07-08
Joint Toll and Revenue Study	Initiate study with TCAs by Fall 2006 and complete by Summer 2007.	06-07 to 07-08
Costa Mesa Freeway Improvement Plan	Initiate study by Spring 2007 and complete by Fall 2008.	06-07 to 07-08
Costa Mesa Freeway Access Study	Initiate study by Spring 2007 and complete by Spring 2008.	06-07 to 07-08
Freeway Operations Study	Initiate study by Fall 2008 and complete by Fall 2009.	08-09 to 09-10
Ortega Highway Operations Plan	Initiate study by Dec. 2007 and complete by Fall 2008.	06-07 to 07-08
SR-91 Implementation Plan	Continue annual updates to SR-91 Implementation Plan.	06-07 to 09-10

2006 LRTP Short-Term Actions

Fiscal Years 06-07 to 09-10

STREETS AND ROADS		FISCAL YEAR
Signal Synchronization	Complete Euclid Street corridor project by Summer 2007.	06-07
	Complete Oso Parkway/Pacific Park project by Summer 2008.	07-08
	Prepare countywide signal synchronization master plan.	07-08 to 08-09
	Expand network to additional streets and corridors.	08-09 to 09-10
Pavement Management System	Develop countywide standardized pavement management system.	07-08 to 08-09
Arterial Operations Plan	Develop Arterial Operations Plan to address street chokepoints.	07-08 to 08-09

TRANSIT		FISCAL YEAR
Metrolink Expansion Program	Continue Metrolink project development and implementation activities.	06-07 to 09-10
Transit Phasing Strategy	Develop phasing strategy for transit service to 2015.	06-07 to 07-08
Long-Term Facilities Plan	Develop facilities plan for transit phasing strategy.	07-08 to 08-09
High-Speed Ground Transportation	Coordinate high-speed rail and Maglev initiatives including station planning.	06-07 to 09-10
Local Transit Initiatives	Coordinate local transit initiatives for extensions to Metrolink system.	06-07 to 09-10
Safe Transit Stops Program	Define passenger amenities for 100 busiest transit stops in Orange County.	07-08 to 08-09
Community Based Transit	Coordinate community-based transit program with local agencies.	07-08 to 08-09
BRT Coordination	Coordinate BRT and signal synchronization systems.	06-07 to 09-10

OTHER ACTIVITIES		FISCAL YEAR
OCTAM Update	Update forecasting model with new demographics and to SCAG model.	06-07 to 07-08
LRTP Technology Component	Develop technology component for next LRTP (511, ITS)	08-09 to 09-10
Goods Movement	Finalize Multi-County Goods Movement Action Plan by Spring 2007.	06-07

BOARD COMMITTEE TRANSMITTAL



August 8, 2006

To: Members of the Board of Directors
From: Wendy Knowles, Clerk of the Board ^{WK}
Subject: City-Initiated Transit Projects to Support Metrolink Service Expansion

This item will be considered by the Transit Planning and Operations Committee on August 10, 2006. Following Committee consideration of this matter, staff will provide you with a summary of the discussion and action taken by the Committee.

Please call me if you have any comments or questions concerning this correspondence. I can be reached at (714) 560-5676.



August 10, 2006

To: Transit Planning and Operations Committee
From: Arthur T. Leahy, ^{AL}Chief Executive Officer
Subject: City-Initiated Transit Projects to Support Metrolink Service Expansion

Overview

On June 26, 2006, the Orange County Transportation Authority Board of Directors requested changes to the proposed evaluation criteria for the City-Initiated Transit Extensions to Metrolink program to more clearly define how Measure M transit funding and land use planning priorities are related. City representatives also requested changes in the cooperative agreement to better foster collaboration through streamlined contract processing. Revised evaluation criteria and cooperative agreements are offered for consideration.

Recommendations

- A. Approve revised evaluation criteria and initial priorities to provide guidance for cities on priorities for the 2008 competitive phase of the City-Initiated Transit Extensions to Metrolink program based on step one results.
- B. Approve for distribution to cities a sample cooperative agreement for the City-Initiated Transit Extensions to Metrolink program. Cities will use this as a template to apply for \$100,000 grants to conduct planning independently or with other municipalities.

Background

On February 27, 2006, the Orange County Transportation Authority (OCTA) Board of Directors (Board) approved a four-step process for City-Initiated Transit Extensions to Metrolink, now known as the Go Local program (Attachment A). Go Local is a locally initiated program to make Metrolink more convenient to more users utilizing the resources of OCTA.

- Step one: allocates up to a total of \$3.4 million for cities to develop a local transit vision and conduct initial planning. All cities are eligible to receive \$100,000 grants, once they execute a cooperative agreement with OCTA, with a project concept describing the study questions and methods, including any written agreements with partner cities (Attachment B).
- Step two: scheduled to commence in first quarter of 2008, makes available the remainder of \$30 million on a competitive basis to further develop the most promising projects.
- Step three: planned to coincide with the availability of renewed Measure M funds, projects may move into project development and implementation, and the major projects will presumably advance into a federally funded preliminary engineering effort.
- Step four: resources will focus on efforts to transform the Metrolink stations into major multi-modal transportation centers.

All step one and step two Go Local projects must adhere to the requirements of the 1990 Measure M Ordinance, which states:

“This 20-Year Plan element will also provide matching funds to encourage local development of extensions to major activity centers . . . provid(ing) access between the primary rail system and employment centers . . . Selection of technology, ridership estimates and system costs need further analysis and studies.... System connectivity, ridership/performance and availability of matching funds will be used as criteria to determine the relative priority of investment . . .”

In step one all cities with executed cooperative agreements may receive planning funds of \$100,000 to work on a variety of potential tasks such as:

- Assessment of travel needs or local travel patterns to extend the reach of Metrolink
- Transit shuttle planning or implementation which connects to Metrolink
- Supporting transit-friendly land use planning that maximizes the return on transit investment within 1500' of Metrolink stations and at key locations along a transit route (eminent domain and redevelopment incentives are not supported with these funds)
- Evaluation of specific rights-of-way for use as a transit corridor connection to Metrolink

It is suggested that cities work together to achieve sufficient economies of scale to support cost-effective transit service. Cities will submit a report at the

conclusion of step one. The results documented in this report can also serve as an application for step two funding.

Discussion

The Go Local program is based on the principle that local jurisdictions best understand their local needs, and as such the input of local elected officials and city staff on the design of the program is important to its success. To give city staff an opportunity to react to the proposed process, OCTA conducted two workshops on April 4 and 6, 2006. The city participants chose to focus a majority of their comments on the evaluation criteria. They voiced a strong desire to better understand how the proposals were going to be evaluated and stated that this guidance would help them frame their brainstorming and local visioning efforts.

On June 26, 2006, staff reported to the Board on these workshops and sought Board direction on the Go Local step one evaluation criteria and the resources available to cities.

Evaluation Criteria

The June 26, 2006, staff report recommended 12 proposed evaluation criteria parallel to those included in the renewed Measure M plan and drawn from the existing Measure M language. At that time Board Members voiced concerns that these criteria might encourage eminent domain, redevelopment and/or development activities unrelated to transit planning. The revised evaluation criteria (Attachment C) and the revised cooperative agreement now specifically prohibit the use of Go Local funds to support or facilitate eminent domain or to implement land development. The criteria assigns initial priorities similar to those of the federal New and Small Starts programs. Staff will return to the Board with refinements at a later date.

Further, the evaluation criteria emphasize that any land use planning activities must work in concert with transportation planning to maximize the return on OCTA's investment in Metrolink expansion.

These revised criteria provide guidance on OCTA program objectives before city efforts begin, are compatible criteria used by the Federal Transit Administration, and create an equitable playing field on which to evaluate competitors' proposals in the future.

Resources for Cities

Historically transit planning has not been a major concern for Orange County municipalities or city staff. City representatives interested in the Go Local program have raised many questions regarding transit planning opportunities and obstacles and compatible land uses. During the workshops city staff requested more information on how OCTA defines transit connections and transit-oriented development and how to integrate those concepts into local initiatives. They wanted illustrations of successes and failures, asked how they could learn more, and questioned what resources OCTA could provide.

OCTA has introduced a Go Local project website that offers city users answers to questions raised at the April workshops, a list of possible vendors, and other web-based resources. As an initial step, OCTA staff has posted information to the website and informed city staff that additional links are in process. Staff also proposes to add a written agreement checklist, sample project concepts and an outline for a Request for Proposals for consultant assistance to the website.

Beyond this, many city representatives have embraced Go Local's community-based approach and requested assistance on such items as project concepts, joint contract administration, consultant hiring and management, and transit and planning expertise.

Staff proposes to utilize the website, Technical Advisory Committee, and Rail Technical Advisory Committee as vehicles to distribute information to interested Orange County cities once Go Local is underway. An additional resource available to cities is the Southern California Association of Governments program, Southern California Compass 2% Strategy, which offers consultant resources to cities interested in, among other things, integrating transit and community planning.

A sample cooperative agreement is proposed for Board consideration and will be the basis for all Go Local cooperative agreements. Some cities have proposed a collaborative approach in which cities partner through written agreements they execute among themselves, designating one city to act as lead agency for OCTA. Each party and OCTA will sign a multi-party cooperative agreement that will state funding contributions, allocate funds to a single lead agency, and include a shared project concept along with signed written agreements.

To ensure that the Go Local funds are distributed consistently with Measure M allocations, the Board will review the submitted project concept(s) and written agreements when ratifying the cooperative agreements.

Summary

In order to accept project concepts for the Go Local program, staff recommends approval of evaluation criteria, initial priorities, and cooperative agreement for distribution to interested cities.

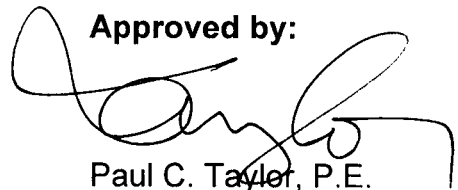
Attachments

- A. Four-Step Process for City-Initiated Rapid Transit and Related Projects
- B. Proposed Sample Cooperative Agreement for Go Local Program
- C. Proposed Revised Evaluation Criteria, Go Local Program

Prepared by:


Jeanne Spinner LaMar
Manager, Local Initiatives
(714) 560-5663

Approved by:


Paul C. Taylor, P.E.
Executive Director, Development
(714) 560-5431

Four-Step Process for City-Initiated Rapid Transit and Related Projects

- Step One: \$100,000 grants for initial needs assessment for interested cities to develop their own future transit vision
- Step Two: Project planning and/or alternatives analysis of the concepts emerging from Step One for interested cities, with projects qualifying through a competitive process
- Step Three: Project development/implementation (preliminary engineering through construction) of those projects from Step Two which qualify through a competitive process for continued funding
- Step Four: Additional work on the Metrolink corridor to transform stations into transportation centers

**Approved by the Orange County Transportation Authority Board of Directors
February 27, 2006**

Proposed Sample Cooperative Agreement for Go Local Program

COOPERATIVE AGREEMENT NO. C- 6-XXXX

BETWEEN

ORANGE COUNTY TRANSPORTATION AUTHORITY

AND

CITY OF _____

FOR

CITY-INITIATED TRANSIT EXTENSIONS TO METROLINK

THIS AGREEMENT is made and entered into this _____ day of _____ 2006, by and between the Orange County Transportation Authority, 550 South Main Street, P.O. Box 14184, Orange, California 92863-1584, a public corporation of the State of California (hereinafter referred to as "AUTHORITY"), acting on behalf of the Orange County Local Transportation Authority, and the City of _____, **STREET ADDRESS, CITY**, California, **ZIP CODE**, a municipal corporation (hereinafter referred to as "CITY").

RECITALS:

WHEREAS, AUTHORITY considers its railroad lines linking Los Angeles and San Diego Counties and the Inland Empire to be the core of Orange County's future rail transit system; and

WHEREAS, CITY and AUTHORITY wish to work as partners to develop a community-based transit vision that increases use of Metrolink by (CITY NAME) residents, visitors, and/or employees; and

WHEREAS, the funds allocated through this program must comply with the 1990 Measure M ordinance which states in part that the intent is to provide matching funds to encourage development of extensions to major activity centers and to provide access between the primary rail system and employment centers; and

/

1 **WHEREAS**, CITY is encouraged to enter into written agreements with ~~nearby~~ other cities to
2 collaborate in some or all facets of a planning and needs assessment to support this vision; and

3 **WHEREAS**, Measure M funds have been designated for cities to study ways to accomplish
4 this; and

5 **WHEREAS**, CITY will develop a proposed Project Concept (further defined hereunder) which
6 will factor in, among other elements, community interests and desires; and

7 ~~**WHEREAS**, as required by Measure M, any proposed Project Concept to be developed and~~
8 ~~pursued by CITY will address the need for and encourage the local development of transit~~
9 ~~connections to major activity centers, and such extensions will provide access between the primary~~
10 ~~rail system and employment centers; and~~

11 **WHEREAS**, the AUTHORITY's Board of Directors on February 27, 2006, allocated Measure
12 M funds to a program designed to enable cities that wish to develop a local transit vision including
13 defined enhancements and ~~connections transit extensions to Metrolink~~ that work best with their local
14 community's short and long-term priorities (hereinafter referred to as "GO LOCAL Step 1"); and

15 **WHEREAS**, CITY has completed the GO LOCAL Step 1 Project Concept form, and
16 AUTHORITY has found such concept acceptable; and

17 **WHEREAS**, CITY, upon AUTHORITY's execution of this Agreement, will pursue the Project
18 Concept; and

19 **NOW, THEREFORE**, it is mutually understood and agreed by AUTHORITY and CITY as
20 follows:

21 **ARTICLE 1. COMPLETE AGREEMENT**

22 This Agreement, including all exhibits and documents incorporated herein and made
23 applicable by reference, constitutes the complete and exclusive statement of the terms and
24 conditions of the agreement between AUTHORITY and CITY concerning the GO LOCAL Step 1
25 work and supersedes all prior representations, understandings and communications between the
26 parties. The invalidity in whole or part of any term or condition of this Agreement shall not affect the

1 validity of the other terms or conditions.

2 **ARTICLE 2. SCOPE**

3 A. This Agreement specifies the procedures that AUTHORITY and CITY will follow in
4 connection with the GO LOCAL Step 1 work to be performed *by CITY*. CITY agrees to provide all
5 services identified in *Project Concept, identified herein as Exhibit A* to this Agreement. Both
6 AUTHORITY and CITY agree that each will cooperate and coordinate with the other in all activities
7 covered by this Agreement and any other supplemental agreements.

8 B. AUTHORITY's failure to insist ~~in any one or more instances~~ upon CITY's performance of
9 any terms or conditions of this Agreement shall not be construed as a waiver or relinquishment of
10 AUTHORITY's right to such performance or to future performance of such terms or conditions and
11 CITY's obligation in respect to ~~there performance~~ shall continue in full force and effect.

12 C. Changes to any portion of this Agreement shall not be binding upon AUTHORITY
13 ~~except when specifically unless~~ confirmed in writing by an authorized representative of AUTHORITY by
14 way of a written amendment to this Agreement and issued in accordance with the provisions of this
15 Agreement.

16 **ARTICLE 3. RESPONSIBILITIES OF AUTHORITY**

17 AUTHORITY agrees to the following responsibilities for the GO LOCAL Step 1 work:

18 A. Payment- AUTHORITY shall pay CITY the amount identified in Article 5. PAYMENT,
19 for the GO LOCAL Step 1 work within 30 days of ~~execution of this agreement~~ receipt of acceptable
20 invoice. Funds will not be ~~released to CITY if Project Concept is not acceptable~~ distributed to CITY
21 if AUTHORITY has not accepted CITY's Project Concept. CITY may resubmit an amended Project
22 Concept for review by AUTHORITY. AUTHORITY has the sole and exclusive right to accept or
23 reject any Project Concept.

24 B. Should CITY not complete the services identified in Exhibit A, or does not meet the
25 terms and conditions of this Agreement, the CITY will return to AUTHORITY all monies funded to the
26 CITY within sixty (60) days of AUTHORITY's written demand.

1 **BC. Additional Funding-** Funding beyond ~~the subject One Hundred Thousand Dollars~~
2 ~~(\$100,000.00)~~ what has been identified in Article 5. **PAYMENT**, shall be pursuant to a competitive
3 process for projects initiated by AUTHORITY at a ~~later date to be determined~~. AUTHORITY does
4 not guarantee ~~selection of CITY that CITY will be selected to advance to the any future step in the~~
5 GO LOCAL process.

6 **ARTICLE 4. RESPONSIBILITIES OF CITY**

7 CITY agrees to the following responsibilities for GO LOCAL Step 1 work:

8 **A. Lead Agency-** CITY will act as the lead agency for the GO LOCAL Step 1 work.
9 However, CITY may designate pursuant to a written partnership letter of agreement that another city
10 participating in the GO LOCAL program is serving as lead agency ~~for a joint Project Concept~~.
11 AUTHORITY shall be provided a copy of this letter ~~within ten (10) days after the agreement has been~~
12 ~~executed~~.

13 **B. Third Party Partnerships-** CITY is encouraged to ~~partner~~ collaborate with and enter into
14 written agreements with adjacent cities to advance the project consistent with the Project Concept.
15 CITY shall deliver to AUTHORITY a copy of each executed agreement within ten (10) days ~~after the~~
16 ~~agreement has been executed of execution~~.

17 **C. Project Reporting-** Within six ~~(6)~~ months from the receipt of funds ~~by CITY~~, CITY shall
18 submit to AUTHORITY a progress report similar to that detailed in Exhibit B, entitled "GO LOCAL
19 ~~Six Month Initial~~ Progress Report," attached to and, by this reference, incorporated in and made part
20 of this Agreement. ~~D. CITY shall be required to produce a final written report (Final Report) of its~~
21 findings, recommendations, and next steps according to a mutually agreed upon ~~schedule date~~, but
22 no later than the ~~term completion date~~ of this Agreement. The Final Report will include the elements
23 described in Exhibit C, entitled "GO LOCAL Project Concept Final Report Outline." Exhibit C is
24 attached to and, by this reference, incorporated in and made part of this Agreement.

25 **ED. Use Of Funding-** CITY shall use funding provided by AUTHORITY exclusively for the
26 services identified in Exhibit A. All funding released to CITY shall be spent in accordance with Local

1 Transportation Ordinance Number 2: The Revised Orange County Traffic Improvement and Growth
2 Management Ordinance. If CITY fails to develop and/or pursue the Project Concept in accordance
3 with said Ordinance, *or the CITY uses the Funds to support or facilitate acquisition of property*
4 *through eminent domain or as matching funds to implement land development*, all monies funded to
5 the CITY shall be returned ~~by CITY~~ to AUTHORITY within sixty (60) days of AUTHORITY's written
6 demand. AUTHORITY shall have sole discretion in determining whether the Project Concept has
7 been developed and/or pursued in accordance with said Ordinance. *AUTHORITY may terminate this*
8 *Agreement, in whole or part, if the AUTHORITY determines in its sole discretion that CITY has*
9 *utilized funds in a manner leading to use of eminent domain powers. Upon AUTHORITY's*
10 *determination and written request, CITY shall return all monies in accordance with this Article.*

11 **FE. Third Party Work-** CITY shall deliver to AUTHORITY a copy of each executed
12 agreement and scope of work for services to be performed by third parties in fulfillment of the Project
13 Concept within thirty (30) days after the agreement has been executed.

14 **GF. Conduct-** CITY shall conduct all of its activities in association with GO LOCAL Step 1
15 in a good and competent *and professional* manner and in compliance with all applicable federal,
16 state and local rules and regulations.

17 **G. Modeling-** ~~CITY shall utilize existing AUTHORITY modeling results to ensure that~~
18 ~~project results are compatible with AUTHORITY planning efforts. AUTHORITY shall make modeling~~
19 ~~available.~~

20 **ARTICLE 5. PAYMENT**

21 **A.** For CITY's full and complete performance of its obligations under this Agreement and
22 subject to the maximum cumulative payment obligation provisions set forth in this Agreement,
23 AUTHORITY shall pay CITY the not to exceed *lump sum* amount of One Hundred Thousand Dollars
24 (\$100,000.00) within thirty (30) days after execution of this Agreement *and upon receipt of*
25 *acceptable invoice.*

26 /

1 B. ~~CITY shall submit to AUTHORITY a Project Expenditure Certification, as detailed in~~
2 ~~Exhibit D, and as a supplement to the Final Report, for work performed under this Agreement. The~~
3 ~~certification shall include, at minimum, actual expenses, classification, hours and rates of in-house~~
4 ~~personnel, vendors, contractors, etc paid for work performed as related to the GO LOCAL Step 1~~
5 ~~work, and the period of time the costs were incurred. Additionally, CITY may be required by~~
6 ~~AUTHORITY to submit this information at any time during the performance of this Agreement. CITY~~
7 ~~shall also furnish other information as may be requested by AUTHORITY. Exhibit D is attached to~~
8 ~~and, by reference, incorporated in and made part of this Agreement. As a supplement to the Final~~
9 ~~Report, CITY shall submit to AUTHORITY a Project Expenditures Certification, as detailed in Exhibit~~
10 ~~D, which is attached to this Agreement, and incorporated by reference, for work performed under~~
11 ~~this Agreement. The Certification shall include, but not be limited to, period of performance, actual~~
12 ~~expenses, classification, hours and rates of in-house personnel, vendors, contractors, for work~~
13 ~~performed exclusively for the GO LOCAL Step 1 phase. Additionally, CITY may be required to~~
14 ~~submit this information to the AUTHORITY at any time during the performance of this Agreement.~~
15 ~~CITY will be required to submit to AUTHORITY all information requested within thirty (30) days from~~
16 ~~AUTHORITY's request~~

17 **ARTICLE 6. MAXIMUM OBLIGATION**

18 Notwithstanding any provisions of this Agreement to the contrary, AUTHORITY and CITY
19 agree that AUTHORITY's maximum cumulative payment obligation hereunder (including CITY's
20 direct and indirect costs) shall be One Hundred Thousand Dollars (\$100,000.00) which shall include
21 all amounts payable incurred solely for the purposes of the GO LOCAL Step 1 work.

22 **ARTICLE 7. AUDIT AND INSPECTION**

23 CITY shall maintain a complete set of records in accordance with generally accepted
24 accounting principles and in accordance with Local Transportation Ordinance Number 2: The
25 Revised Traffic Improvement and Growth Management Ordinance. The original records shall be
26 maintained within the CITY limits. Upon reasonable notice, CITY shall permit the authorized

1 representatives of the AUTHORITY to inspect and audit all work, materials, payroll, books, accounts
2 and other data and records of CITY for a period of *not less than* four (4) years after final payment, or
3 until any on-going audit is completed whichever is longer. For purposes of audit, the date of
4 completion of this Agreement shall be the date of AUTHORITY's payment for CITY's final billing (so
5 noted on the invoice) under this Agreement. AUTHORITY shall also have the right to reproduce any
6 ~~such books, records and accounts documents related to this Agreement by whatever means~~
7 ~~necessary. Contracts with CITY's contractors at any tier shall include the above provisions with~~
8 ~~respect to audits.~~

9 **ARTICLE 8. INDEMNIFICATION**

10 CITY shall indemnify, defend and hold harmless AUTHORITY, its officers, directors,
11 employees and agents from and against any and all claims (including attorney's fees and reasonable
12 expenses for litigation or settlement) for any loss or damages, bodily injuries, including death,
13 worker's compensation subrogation claims, damage to or loss of use of property caused by the
14 negligent acts, omissions or willful misconduct by CITY, its officers, directors, employees or agents
15 in connection with or arising out of the performance of this Agreement.

16 **ARTICLE 9. ADDITIONAL PROVISIONS:**

17 The AUTHORITY and CITY agree to the following mutual responsibilities:

18 A. Term of Agreement- This Agreement shall continue in full force and effect through
19 December 31, 2007, unless terminated ~~earlier~~ by mutual written consent by both Parties. The term
20 of this Agreement may only be extended upon mutual written agreement by both Parties.

21 B. Termination- ~~This Agreement shall not be terminated without mutual written consent~~
22 ~~of both Parties.~~ The AUTHORITY may terminate this Agreement for its convenience any time, in
23 whole or part, by giving CITY written notice thereof.

24 C. Modifications- This Agreement may be amended in writing at any time by the mutual
25 consent of both Parties. No amendment shall have any force or effect unless executed in writing by
26 both AUTHORITY and CITY.

1 D. Legal Authority- AUTHORITY and CITY hereto warrant that they are duly authorized
2 to execute this Agreement on behalf of said Parties and that, by so executing this Agreement, the
3 Parties hereto are formally bound to the provisions of this Agreement.

4 E. Notices- Any notices, requests or demands made between the parties pursuant to
5 this Agreement are to be directed as followed:

6 To CITY:

7 City of **Name**

8 **Address**

9 P.O. Box **Number**

10 **City, CA Zip Code**

11 ATTENTION: **Name**

12 **Title**

13 **Contact Information**

To AUTHORITY:

Orange County Transportation Authority

550 South Main Street

P. O. Box 14184

Orange, CA 92863-1584

Attention: Kathleen Murphy-Perez,

Section Manager, Capital Projects

(714/560-5743); *kperez@octa.net*

c: Paul Taylor, Executive Director,

Planning, Development and Commuter Services

Development Division

17 F. Severability- If any term, provision, covenant or condition of this Agreement is held to
18 be invalid, void or otherwise unenforceable, to any extent, by any court of competent jurisdiction, the
19 remainder to this Agreement shall not be affected thereby, and each term, provision, covenant or
20 condition of this Agreement shall be valid and enforceable to the fullest extent permitted by law.

21 G. Counterparts of Agreement- This Agreement may be executed and delivered in any
22 number of counterparts, each of which, when executed and delivered shall be deemed an original
23 and all of which together shall constitute the same agreement. Facsimile signatures will be
24 permitted.

25 H. Force Majeure- Either Party shall be excused from performing its obligations under this
26 Agreement during the time and to the extent that it is prevented from performing by an unforeseeable

1 cause beyond its control, including but not limited to: any incidence of fire, flood; acts of God;
2 commandeering of material, products, plants or facilities by the federal, state or local government;
3 national fuel shortage; or a material act or omission by the other party; when satisfactory evidence of
4 such cause is presented to the other Party, and provided further that such nonperformance is
5 unforeseeable, beyond the control and is not due to the fault or negligence of the Party not performing.

6 I. Assignment- Neither this Agreement, nor any of a Party's rights, obligations, duties, or
7 authority hereunder may be assigned in whole or in part by either Party without the prior written consent
8 of the other Party. Any such attempt of assignment shall be deemed void and of no force and effect.
9 Consent to one assignment shall not be deemed consent to any subsequent assignment, nor the
10 waiver of any right to consent to such subsequent assignment.

11 J. Obligations Comply with Law- Nothing herein shall be deemed nor construed to
12 authorize or require any Party to issue bonds, notes or other evidences of indebtedness under terms, in
13 amounts, or for purposes other than as authorized by local, State or Federal law.

14 K. Governing Law- The laws of the State of California and applicable Federal, State, local
15 laws, regulations and guidelines shall govern hereunder.

16 /
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25 /
26 /

1 This Agreement shall be made effective upon execution by both parties.

2 IN WITNESS WHEREOF, the parties hereto have caused this Agreement No. C-6-XXXX to be
3 executed on the date first above written.

4 CITY NAME

ORANGE COUNTY TRANSPORTATION AUTHORITY

5
6 By: _____

By: _____
Arthur T. Leahy
Chief Executive Officer

7
8 ATTEST:

APPROVED AS TO FORM:

9
10 By: _____

By: _____
Kennard R. Smart, Jr.
General Counsel

11
12 APPROVED AS TO FORM:

APPROVAL RECOMMENDED:

13
14 By: _____

By: _____
Paul Taylor, Executive Director
~~Planning, Development and Commuter Services~~
Development Division

15
16 Dated: _____

Dated: _____

GO LOCAL

PROJECT CONCEPT

To qualify for funds your city project must focus on assessing ways to provide transit connections to Metrolink. Complete the Project Concept, and return with the Cooperative Agreement.

A. Study Type

Project Concept assessments can cover or study any of the following topics. Please review the descriptions below and indicate your best guess of the type of assessment work you'll the proposed assessment work you will be doing by placing an (x) next to one (or more) of the following:

Needs Assessments

What are the transit needs? Identify populations, congestion areas, etc.

Coordinating Transit and Land Use Planning

How can a transit project support your city's land use planning policies/projects and vice versa?

Route Planning

Existing data has identified activity centers, populations or congestion hot spots which warrant transit service. What are possible routes and types of transit?

Public policy /public support

Does the community support transit as evidenced by land use designations and the commitment of local stakeholders?

Project Concepts

Does the city have one or more general transit concepts which it would like to explore more fully in a detailed technical analysis?

Make your own case

Is there a concept that addresses a need in your city that you would like the Board of Directors to consider? Is this need consistent with the Measure M requirements that funds be spent on transit-related purposes to extend the reach of Metrolink?

B. Project Overview

Please include a 50 to 100 word overview of your Project Concept.

C. Partners

Please attach any Project Concept letters of understanding or partnership agreements.

GO LOCAL

**PROJECT CONCEPT
SIX-MONTH PROGRESS REPORT**

City/Date: _____

Prepared By _____

A. Project Overview Progress Report

Please include a 100-200 word description of progress to date. *To the extent possible*, you should describe what you are working on, your methodology, key staff and/or stakeholders, and any preliminary results.

B. Project Resources

Please indicate all that apply:

◆ We've been utilizing consultants (Name(s): _____) Yes No Unsure

◆ We've been doing some or all of the work in-house Yes No Unsure

◆ We have partnerships with:
(Include if not listed in A)

C. Financial Report

Percentage of funding Committed _____ Expended _____

We foresee obstacles to completion with funding. No _____ Yes _____
Explain:

Return to: Paul Taylor, Executive Director, OCTA
550 South Main Street
P.O. Box 14184
Orange, CA 92863-1584

Prepared 4/30/06

GO LOCAL

**PROJECT CONCEPT
FINAL REPORT OUTLINE**

At the conclusion of Project Concept work, all cities will submit a Final Report within _____ days utilizing the outline below. Sections Five and Six below will constitute your proposal for the next phase of work.

1. **Summary of Project** (1 page)
2. **Study Questions** (1 page)
3. **Methodology Used** (1 page)
4. **Results** (3-5 pages)
Report against the Evaluation Criteria, i.e. financial considerations, community factors, transportation benefit.
5. **Findings** (4-5 pages)
Your analysis of the results
6. **Next Steps** (5-7 pages)
Identify:
 - *what you wish to do next,*
 - *the methods you would use,*
 - *the staff, resources, and time you would need;*
 - *what you would expect to determine, and*
 - *the budget, your agency contribution, any partnerships and their contributions.*

**Return to: Paul Taylor, Executive Director, OCTA
550 South Main Street
P.O. Box 14184
Orange, CA 92863-1584**

Prepared 4/30/06

GO LOCAL

PROJECT CONCEPT
Project Expenditures Certification

SAMPLE

Consultant	Contract Number	Cost Column A	In-house Labor	Total hours charged to project x fully burdened hourly rate	Cost Column B	TOTAL add A & B
ABC	001	25,000	Sr. Planner	500 hours x \$85/hr	42,500	
XYZ	002	30,000	Admin Asst.	100 x \$25/hr	2,500	
		55,000			45,000	100,000

I hereby certify that the above is a true and correct statement of the work performed and costs incurred on the Project Concept.

Date

Signed

Title

Return to: Paul Taylor, Executive Director, OCTA
550 South Main Street
P.O. Box 14184
Orange, CA 92863-1584

Prepared 4/30/06

Proposed Revised Evaluation Criteria Go Local Program

These criteria will evaluate results of step one effort as documented in Final Report, which will be an applicant's request for step two funding.

Criterion	Priority	Purpose	Performance Measures
1-Local Jurisdiction Funding Commitments	High	To appropriately invest scarce Measure M resources and ensure that the project is a high priority for the host cities.	<ul style="list-style-type: none"> • Proof of local funding commitments (e.g. City council actions, city budgets, grant applications, MOUs, etc.) • <i>Level of local funding match</i>
2-Proven Ability to Attract Other Financial Partners	High	To ensure that Measure M dollars are being invested in areas which others have determined warrants investment and to ensure that Measure M dollars are being leveraged to maximize their return to the public.	<ul style="list-style-type: none"> • Co-op agreements, MOUs, Council Actions, Grants • Funding agreements with private parties, if any, to demonstrate private sector financial participation in the proposed project related to the area served or affected by the project • Projected increase in land values of lands affected by the proposed project • Percent of proposed project funding not from Measure M • Action Plan for obtaining commitments in Step Two • <i>Employer Rideshare commitments from employers along the route</i>
3-Proximity to Jobs and Population Centers	High	Coordinated planning of transit and land use to increase pedestrian safety and access to Metrolink <i>Create a larger pool of potential Metrolink riders, either by increasing the number of jobs and/or residents near a Metrolink station or by improving transit connections to Metrolink.</i>	<ul style="list-style-type: none"> • <i>Recommendations for policies, general plan amendments, etc. applied within 1500' of station.</i> • <i>Recommendations for short or long-term local transit strategies coordinated with land use.</i> • Increase the number of people who can get to work/home from Metrolink in 15 minutes using transit or 10 minutes walking. (Total transit travel time includes walk+wait+in vehicle time)

Criterion	Priority	Purpose	Performance Measures
4-Regional Benefits	High	Effectively deliver Metrolink riders to regional <i>employment and activity</i> destinations utilizing convenient locally-oriented transit. Expand transit's appeal to those who own autos.	<ul style="list-style-type: none"> • Number of cities served by the proposed project. • Number of existing and planned "regional" <i>employment and activity</i> centers within 15 minutes total transit travel time <i>or</i> 10 minutes walking time of the nearest Metrolink station. • Definition of regional activity center TBD but examples are <i>CSUF</i>, Disneyland, <i>UCI Medical Center</i>, <i>Civic Center</i>, <i>JWA</i>, regional malls such as South Coast Plaza, Orange Coast College, etc. • Agreements regarding intent to pursue program to develop cooperative ridership development programs (or letters of intent to pursue same in Step Two) etc. with activity centers and/or employers.
5-Ease and Simplicity of Connections (note: moved up from #10)	Medium High	To close gaps between existing transit services especially during peak demand hours To maximize ridership by making sure the project includes the optimum number, ease and user-friendly design considerations regarding connections between the project and Metrolink.	<ul style="list-style-type: none"> • Linkage assessment within project area • Number of new transit connections • Number and clarity of transfers required to travel 15 minutes of total transit travel time to/from the nearest Metrolink station • Attention devoted to customer service planning • Ease of access from the Metrolink platform to boarding location of proposed new service or to new land uses • Amount of integration between Metrolink fares and fares of proposed project. • Apply sample trips for comparative purposes • Evaluate the amount and type of research done or proposed, and/or considerations given to site design to make connections easy.

Criterion	Priority	Purpose	Performance Measures
6-Cost-Effectiveness	Medium	Assess the benefit for each public dollar spent	<ul style="list-style-type: none"> • Total cost per new rider • Measure M cost per new rider • Total cost per passenger-mile • Measure M cost per passenger-mile. • Private investment attracted per passenger mile. • Non-transit funding attracted per passenger mile
7-Traffic Congestion Relief	Medium	Reduce congestion so streets and freeways can work better, especially in the local community/project area.	<ul style="list-style-type: none"> • Projected number of "new" transit riders • Estimated reduction in daily vehicle miles of travel (VMT) • Projected ridership in year 2015 (or 2030?; or year of opening?) • Projected number of new pedestrian-oriented uses within ½ mile • Projected reduction in parking requirements • Projected benefits to local street network • Complementary congestion relief efforts (signal synchronization, etc.) are proposed for the project to make it work better with the transit connection(s) in place.
8-Right-of-Way Availability	Medium	To accurately assess what is needed to build a project and thereby maximize the likelihood of cost effective, timely project delivery.	<ul style="list-style-type: none"> • Proof of ROW availability (if required). • Appropriate letters of agreement, contracts or ownership records (public ROWS, easements, property donations, etc.) • Action Plan and schedule for obtaining the necessary commitments in step two.
9-Sound Long-Term Operating Plan	Medium	Experience elsewhere has shown that early operations planning can be overlooked and is a high priority. The framework of an operating plan can and must be established early to ensure public funds are invested well.	<ul style="list-style-type: none"> • 5+year operating plan • Projected farebox recovery compared with OCTA or other relevant operation's history • Qualitative assessment of the proposed funding sources <p>Demonstrations of partnering agreements (letters of intent, MOUs, etc) or intent to pursue same in step two for sustained cooperative agreements to utilize service as a connection to Metrolink for employees,</p>

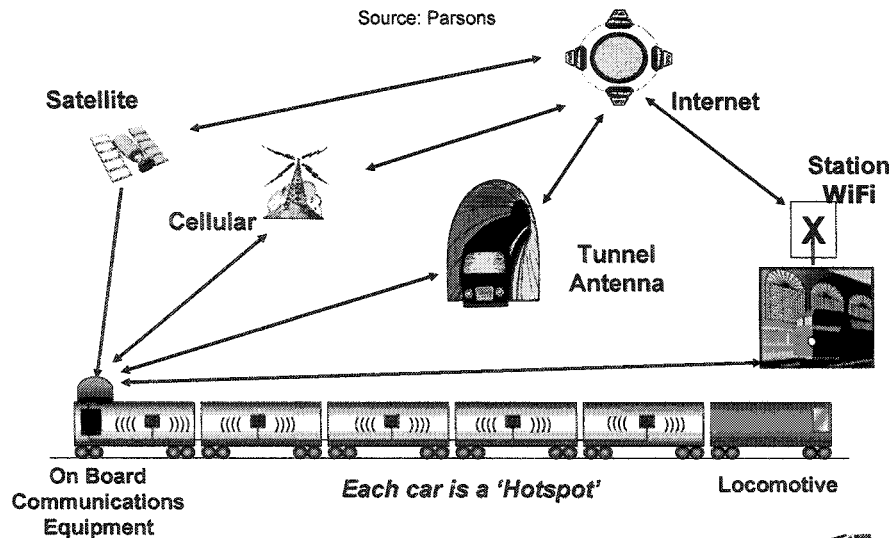
Criterion	Priority	Purpose	Performance Measures
10-Compatible and Approved Land Use (Note: moved down from #5)	Medium High	Ensure that transportation and land use are working in concert to maximize the return on transit investment and land values	<p>etc.</p> <ul style="list-style-type: none"> Qualitative assessment of the transit supportiveness of land uses served by or created by the proposed project (e.g. pedestrian friendly, integration of transit stops with development, mixed uses, etc.) Qualitative assessment of ease of pedestrian connectivity to transit stops of proposed new service and/or to the Metrolink station. Proof of zoning actions adopting compatible land uses or city's intent to adopt same Letters of support from affected interests (e.g. HOAs, community associations, chambers of commerce, developers)
11-Project Readiness	Low	To assess when a project could reasonably benefit a community.	<ul style="list-style-type: none"> Ability of proposed project or concept to be implemented within 5 years of submittal of the Go Local step one final report, as documented in the proposed schedule of project development activities. The proposed implementation schedule will be compared to existing, similar projects from Orange County or other metro areas.
12-Safe and Modern Technologies	Low	Increase the project's public appeal; increase ridership, and, reduce liability and maintenance costs	<ul style="list-style-type: none"> Actual experience from existing operations or manufacturer's data Qualitative assessment of the safety of proposed technology, Qualitative assessment of the reliability of the proposed technology.

Testing of Wireless Fidelity Service on Metrolink

OCTA
Board of Directors Meeting
August 14, 2006

Rail Wi-Fi – One Model

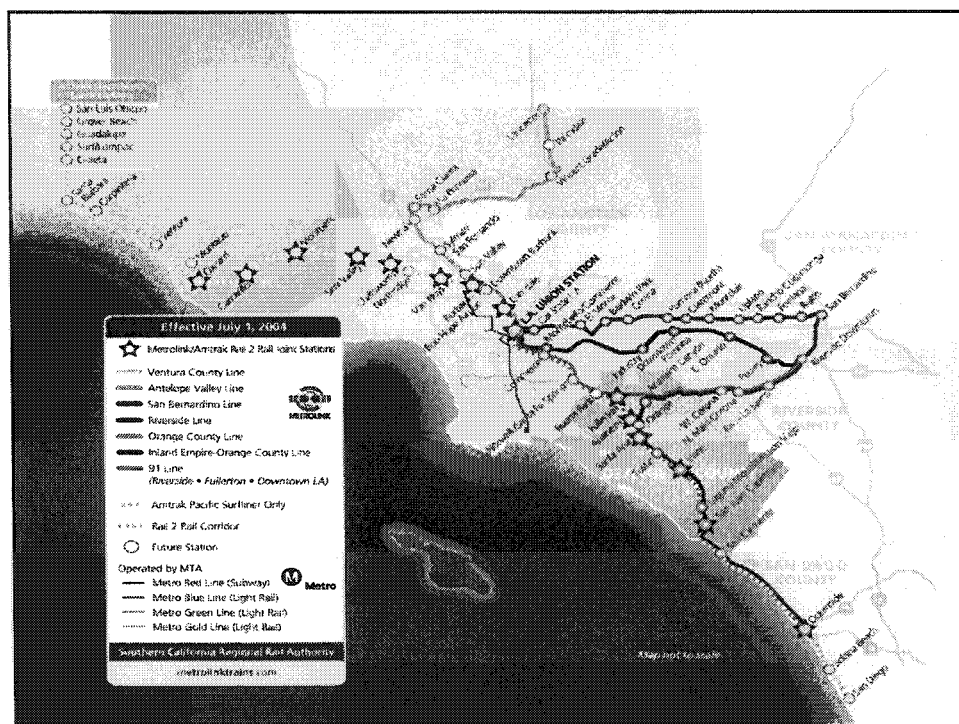
Source: Parsons



Wi-Fi in the Metrolink Mobile Environment

- 512 route miles
- Six counties
- Diverse geographic conditions
- SCRRA-operated (2/3) vs. freight-owned (1/3) corridors
- Requirement for equipment interoperability
- Multiple mobile telephone service providers

Metrolink



How Important is Wi-Fi to Metrolink Customers?

(Source: 2003 Metrolink Rider Panel)

- 54% were either not interested in Wi-Fi or had a Wi-Fi enabled device but didn't use it
- 47% either used it or planned to use it in the future
- Between 11% and 28% indicated that they would use Wi-Fi regularly as part of their Metrolink commute

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How Would You Use It?

(Source: 2003 Metrolink Rider Panel)

- | | |
|-----------------|-----------------------|
| • Email: 28% | • Download files: 10% |
| • News: 24% | • Upload files: 7% |
| • Research: 19% | • Games: 6% |

Other: 6%

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What Service Aspect is Most Important to You?

(Source: 2003 Metrolink Rider Panel)

1. Reliability (signal strength)
2. Cost
3. Security
4. Speed (bandwidth)
5. Coverage

Metrolink
Southern California
Metrolink

Activity to Date

- Work with Parsons on cellular and satellite coverage analysis
- Coverage survey complete
 - Better coverage than expected
 - Several areas with lost coverage requiring terrestrial antennae
 - Data available for potential development of RFP

Metrolink
Southern California
Metrolink

Metrolink's Interests

- Passenger amenity
 - Consistently available across the entire Metrolink system
- Train-borne data transmission
 - Train location
 - Schedule adherence
 - Diagnostics

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Rapidly Evolving Technology

- Cellular
- Satellite
- Wi-Fi
- WiMAX
- Mobile device standards
- Telco-offered mobile broadband

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The Business Model

- Cost neutral or revenue generation for SCRRRA
- Driven by competition and technology
 - Subscribe to train-borne service or directly through customer's service provider?
 - Subscriber fees and reciprocal access agreements
 - Service quality/customer service

Metrolink
Metrolink
Metrolink

Metrolink's Options

- Consider in context of larger Metrolink communications infrastructure plan
 - Radio, fiber, frame relay, cellular, satellite
 - Current and future bandwidth requirements and capacity
- Capitol Corridor Request for Information for technology demonstrations
- Issue an RFP

Metrolink
Metrolink
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