



Arterial Pavement Management Program (APM)

Frequently Asked Questions (FAQs)

Below are answers to questions that have been submitted via email or proposed at workshops hosted by the Orange County Transportation Authority (OCTA). Please email Ben Ku at bku@octa.net for more information.

- [Do the \\$250,000 and \\$500,000 grant limits only apply to the Regional Surface Transportation Program \(RSTP\) funding or the entire cost of the project?](#)

The APM grant thresholds only apply to the RSTP funding and it does not limit the total cost of the project to these amounts. The total project cost must exceed the maximum RSTP funding as the program requires a dollar-for-dollar match. Because the RSTP funds are federal funds, which have numerous requirements for obligation of funds, the minimum RSTP grant would be \$250,000. The minimum construction cost for this project would need to be \$500,000 to meet the match requirement.

- [Does the agency's project have to be mentioned directly in the agency resolution?](#)

Yes, the project title, project limits, and the project cost must be referenced in the agency resolution.

- [Can an agency list multiple projects on one resolution?](#)

Yes, an agency can list multiple projects on one resolution. The resolution must include the project title, project limits, and cost.

- [Can an agency that is applying for both the Comprehensive Transportation Funding Program Call for Projects and the APM Call for Projects use the same resolution for both projects?](#)

No, each funding program requires a separate resolution.

- [If a project needs to add extra height to a curb to maintain a differential of greater than 2 inches between the roadway surface and the top of the curb, will the cost to raise the curb be an eligible expense?](#)

No, reconstruction of the curbs and the median is not considered an eligible expense. It is preferable for the local agency to cold mill the existing roadway and then to apply a variable thickness overlay to bring the roadway back to its original height.

- [For joint agency projects, how is it determined which agency is eligible to receive the agency priority points?](#)

The partner agencies must make their own determination to which project will be selected to receive the agency priority points (30 points).

However, each agency may elect to utilize their agency priority on the same application project and receive 60 points together. (**Note:** The maximum points an application can receive will not exceed 100 points).

- [Does the APM Call for Projects ensure that each agency applicant will receive funding for at least one project?](#)

In order to make this call equitable for all applicants, each agency is allowed to select one project to be designated an agency priority to receive 30 points. This should provide the agency priority project with an advantage of 30 points. However, there is no guarantee that OCTA will fund a project submitted from each applicant.

- [How does an agency request to accelerate project funding into Fiscal Year \(FY\) 2014-2015?](#)

Applicants should note in the funding justification section in the application that the agency is seeking to advance funds and provide an explanation for this advance.

- [For overlay treatments, will a materials report be needed?](#)

Yes, OCTA will require a materials report to be completed for overlay treatments. This report will be due once the project has been approved by the OCTA Board of Directors.

- [Are points provided for design that is partially complete or only for complete design?](#)

Only projects that have a complete design will receive points under the project readiness section.

- [Can an agency submit a project application that features multiple segments that are not on the same street or contiguous?](#)

Yes, an agency may put multiple unconnected segments of roadway together in an application for funding and call it one project. This is allowable to apply for the APM funding, however, an agency should consider how to define the project for NEPA. The agency may want to discuss the project with the California Department of Transportation (Caltrans) in advance to determine how Caltrans will process and administer the projects, as either individual projects or as one project.

- [When are the deadlines for the National Environmental Policy Act \(NEPA\) and other state/federal regulations?](#)
 - Environmental documentation must be submitted to Caltrans by November 1st of the FY in which funds are programmed or the administering agency risks losing project funding.
 - Obtain NEPA and California Environmental Quality Act (CEQA) approval prior to January 1st of the FY funds are programmed.
 - E-76 (Authorization to Proceed) request must be submitted to Caltrans District 12 and copied to OCTA by February 1st of the FY in which funds are programmed.

All of the deadline information for applicable state/federal requirements are noted in provisions of use section of the APM guidelines.

- [Can a project feature multiple treatments on one portion of the project?](#)

Yes, OCTA will consider multiple treatments, as long as there is an explanation of the need for multiple types of treatments.

- [If an agency elects to use a grind/overlay treatment, would a typical section be acceptable?](#)

Agency applicants should provide as much detailed information as needed to illustrate the type of treatments on a given project.

- [Do agencies need to submit their projects to the California Transportation Commission \(CTC\) for approval to be eligible for APM funds?](#)

No, OCTA will **not** require CTC approval for the APM Call for Projects. However, the local agency will be required to work through Caltrans for the E-76 (Authorization to Proceed) process. For construction phase projects, local agencies should not advertise for work until the Federal Highways Administration has approved the E-76 or notice to proceed.

- [Can agency matching funds be used to cover ineligible project costs?](#)

No, agency matching funds will only be allowed on eligible construction costs. The local agency would need to provide additional local funds to cover ineligible items.

- [Can an agency use ineligible project costs to calculate the project's cost benefit ratio?](#)

No, only eligible projects costs will be allowed in the calculation.

- How are “Lane Miles” in the APM application determined?

Lane Miles are calculated by multiplying the Miles of roadway by the number of lanes for each segment.

- Can OCTA provide sample language for the delegated authority for local agencies to provide in the resolution?

(Please see sample language below):

WHEREAS, the City Council of the City of XXXX authorizes the (City’s official representative) to execute any necessary cooperative agreements between the City of XXXX and OCTA to facilitate the delivery of the project.

NOW, THEREFORE, the City Council of the City of XXXX DOES HEREBY RESOLVE as follows:

SECTION 1. The City of XXXX hereby authorizes the (Manager of Transit and Transportation) identified as the official representative of the City of XXXX to apply for the Regional Surface Transportation Program (RSTP) funding under the Moving Ahead for Progress in the 21st Century Federal Transportation Act (MAP-21) for the following projects:

XXXXX-XXXXXXXXXX
XXXXX-XXXXXXXXXX

SECTION 2. The City of XXXX agrees to fund its share of the project costs and any additional costs over the identified programmed amount.

