

The American Public Transportation Association's (APTA) Health, Safety, and Environmental Compliance Peer Review

Completed: June 10, 2013



History

- Requested as part of the Chief Executive Officer's "first 100 days" initiative
- Review the health, safety, and environmental compliance (HSEC) program
- Observations and recommendations

Reputability / Methodology

- Valuable resource to transportation industry
- Highly credentialed panel
- Reviewed documentation, visited sites, interviewed staff and contractors

Peer Review Panel Members

Greg Hull

Peer Review Facilitator
APTA



Rufus Francis

Director of Safety
Sacramento Regional Transit District



Dave Genova

Assistant General Manager- Safety, Security, & Facilities
Regional Transit District- Denver



John O'Grady

Chief Safety Officer
Toronto Transit Commission



Peer Review Focus

- 1. Compliance with local, state, and federal regulatory requirements**
- 2. Internal policy comprehension and adoption**
- 3. Program responsibilities/ownership and incorporation within OCTA**
- 4. Cultural assessment among all levels within the organization**
- 5. Program sustainability**
- 6. Other observations and recommendations**

Observations / Recommendations

- **Regulatory Compliance** (5 total)
 - Update current Storm Water Pollution Prevention Plans 
- **Policy Comprehension & Adoption** (3 total)
 - Development of an organization-wide “Risk Register” 
- **Program Responsibility and Ownership** (3 total)
 - Parts receiving – quality assurance, quality control (inspection) program 
 - Contractor “best practices” consideration and adoption 
 - Central Communication radio stream review for MV Transportation operations 



Complete



In Process



Further Decisions/Direction Required

Observations / Recommendations

- **Safety Culture** (4 total)

- Evaluate the Moving Ahead for Progress (MAP-21) requirements related to reporting structure of safety 
- Consider an executive level safety committee 
- Review security needs at base locations 
- Incorporate personal safety goals within staff performance objectives 

- **Program Sustainability** (2 total)

- Evaluate prudent safety investment (staffing and programs) to support or offset emerging risks 



Complete



In Process



Further Decisions/Direction Required

Acknowledgements

- **Comprehensive HSEC programs and policies**
- **Impressive maintenance/condition of the facilities and fleet**
- **Industry “Best Practices”**
 - Safety Captains Committees
 - CEO Inspections
 - On-Board Operator Evaluations
- **The Peer Review request reflects the true commitment of OCTA**
- **Management transparency and information sharing are a reflection of the positive safety culture**

Conclusion

Questions / Comments